Council Meeting Agenda
(all meetings will be held the same day)

A1. Call to Order and Announcements/Introductions 12:00 p.m.

A2. Receipt of Public Comment

A3. Approval of Minutes: 12:05 p.m.
   October 18-19, 2016, BOV orientation  Page 1
   October 24, 2016, Executive Committee  Page 10
   October 25, 2016, Council meeting     Page 13
   December 1, 2016, Special Meeting     Page 21

A4. Remarks from Makola Abdullah, President,
    Virginia State University  12:10 p.m.

A5. Remarks from John O. “Dubby” Wynne, Chairman,
    Virginia Growth and Opportunity Board  12:40 p.m.

BREAK

A6. Report of the Agency Director  1:05 p.m.  Page 25

A7. Report from Committees:  1:20 p.m.

   Report from October Executive Committee
   Action on Proposed Bylaws Changes  Page 27

   Report from Academic Affairs Committee
   Action on Programs at Public Institutions (see C3)  Page 71

   Report from Resources and Planning Committee
   Action on 2017 Enrollment Projection and Degree
   Estimate Process (see D3)  Page 90

   Report from Ad Hoc Committee on Research

A8. New Business  2:20 p.m.
   Action on Resolution for Departing Council Member

A9. Receipt of Items Delegated to Staff  2:25 p.m.  Page 50
A10. Motion to Adjourn 2:30 p.m.

NEXT MEETING: March 20-21, 2017 – Virginia Military Institute, Lexington

All meeting times are approximate and may vary slightly.

Public Comment:

A public comment period will be allocated on the meeting agenda (typically at the beginning of the agenda). To be scheduled, those interested in making public comment should contact the person listed below no later than 5:00 p.m. three (3) business days prior to the meeting date. At the time of the request, the speaker’s name, address and topic must be provided. Each speaker will be given up to three (3) minutes to address SCHEV. Speakers are asked to submit a written copy of their remarks at the time of comment.

NOTE:
Materials contained in this Agenda Book are intended for consideration by the Council at its meeting (dated above), and may not reflect final Council action. For a final version of any item contained in these materials, please visit the Council’s website at www.schev.edu or contact Lee Ann Rung at LeeAnnRung@schev.edu.
B. Ad Hoc Committee on Research

9th floor conference room

9:00 a.m.

B1. Call to Order
B2. Approval of Minutes (December 21, 2016) Page 53
B3. Review of Charge to the Committee Page 56
B4. Discussion of Continuum of Research and Innovation; Sources of Public Funding in Virginia Page 58
B5. Introduction of Organizing Principles for Award Competitions for Virginia Research Investment Fund Page 66
B6. Discussion of Next Steps
B7. Motion to Adjourn 10:00 a.m.

Ad Hoc Committee members: Ken Ampy, Gene Lockhart, Bill Murray, Minnis Ridenour

C. Academic Affairs Committee

10th floor conference room

10:00 a.m.

C1. Call to Order
C2. Approval of Minutes (October 24, 2016) Page 69
C3. Action on Programs and Public Institutions Page 71
C4. Discussion of Updates to The Virginia Plan for Higher Education Page 77
C5. Report of the Staff Liaison to the Committee Page 83
C6. Motion to Adjourn 11:30 a.m.

Academic Affairs Committee members: Gene Lockhart, Chair; Carlyle Ramsey, Vice Chair; Ken Ampy; Heywood Fralin; Pam Moran; Katie Webb
**D. Resources and Planning Committee** 10:00 a.m.
9th floor conference room

D1. Call to Order
D2. Approval of Minutes (October 24, 2016)  Page 87
D3. Action on 2017 Enrollment Projection and Degree Estimate Process  Page 90
D4. Discussion of 2016 Fall Enrollment  Page 93
D5. Discussion of Governor’s Introduced Budget  Page 99
D7. Discussion of Updates to The Virginia Plan for Higher Education  Page 108
D8. Motion to Adjourn  11:30 a.m.

Resources and Planning Committee members: Marge Connelly, Chair; Henry Light, Vice Chair; Stephen Moret; Bill Murray; Minnis Ridenour; Tom Slater
Mr. Blake called the meeting to order at 3:45 p.m. and Mr. Minor provided a welcome to all new members. He encouraged attendees to ask questions throughout the orientation.

A list of attendees and the agenda for the meeting are attached.

Perspectives on Board Service

Secretary of the Commonwealth Kelly Thomasson described the process of making board appointments. She said they consider the needs of the institution and the goals of The Virginia Plan for Higher Education.

Governor McAuliffe spoke about substantial state investment in higher education. He advised the board members to bring their expertise and guidance and reminded them of their role as overseers. The Governor expressed his desire for Virginia to be the center of cybersecurity, both in creating and filling jobs in this area. Because of his concern to make higher education more affordable and accessible, he said that his budget actions would exclude reductions for FY2017 to financial aid.

Secretary of Education Dietra Trent talked about Complete College America. She said her office talked to each president about setting goals for access and/or completion, asking them to look beyond the boundaries of their own institution and propose how they can meet the challenges.

Secretary of Finance, Ric Brown, asked the members to remember that each institution serves as an economic driver for its region. He referred to the Go Virginia initiative and noted that 70% of the general fund is generated by income taxes. Creating jobs is the administration’s #1 priority, and education supports that goal.

The Public Purposes of 21st-Century Public Higher Education – Kim Hunter Reed

Dr. Reed spoke of the challenges that higher education must meet, including graduation rate, drop-outs, and meeting the needs of non-traditional students. Every student must have a credential beyond high school in order to participate in the workforce.

New projects need to be scalable so that more students can be successful. Questions board members should be asking include the following:

- Are we using data to see what is successful?
- How can aptitude tests be used for students to know what careers are possible?
- What is happening when it comes to education vs. incarceration? She said that approximately 700,000 individuals leave the penal system every year. These individuals require a pathway to education to help them from reentering the system).
Dr. Reed expressed a need for resources to fund our priorities. Regarding diversity and inclusion, in addition to concerns about diversity among students, faculty and leaders, she stressed the importance of the dialogue that occurs outside the classroom. This is an aspect of 21st century skills, she said. Companies are hiring people who can deal with these kinds of issues. Dr. Reed answered questions from attendees.

**OCTOBER 19, 2016**

Mr. Minor welcomed the group and Mr. Blake provided an overview of the agenda. He introduced Susan Whealler Johnston from the Association of Governing Boards (AGB).

**Consequential Boards**

Ms. Johnston reported that a higher rate of college degree production is key to a higher gross national product (GDP). She said that higher education helps make Virginia more prosperous and is a pathway to individual prosperity as well. She informed the group that AGB serves over 1,300 boards.

Ms. Johnston presented a review of basic board responsibilities, including three fiduciary duties: care, loyalty, and obedience, each of which require careful, good-faith decisions in the long-term best interests of the institution.

She stressed the importance of being bold and considering the transformative force of education. Ms. Johnston referred to the AGB report that can be found on the SCHEV website.

Ms. Johnson listed the following attributes of a great board member:

1. Study higher and know your university in that context.
2. Know your responsibilities.
3. Know the limits of your responsibilities.
4. Be engaged.
5. Know what guides your work – the students.
6. Focus on what matters.
7. Governance is a team sport.
8. Be a storyteller and advocate for higher education.

Mr. James B. Murray, board member from the University of Virginia, commented on Ms. Johnston’s presentation. He said that three things are important for a board member to address – affordability, employability and retention. If there is one thing that we can do for higher education in America, he said, it is to make sure that students come into the system, they leave with a degree. He spoke about the importance of philanthropy, loyalty, and autonomy and urged board members to speak up and comment. Mr. Murray indicated that the most common tendency of new members is to micromanage and asked that members guard against this and let the president manage the day-to-day operations of the institution. Ms. Johnston and Mr. Murray answered questions from attendees.
Boards’ Roles in Fulfilling The Virginia Plan for Higher Education

Ms. Katie Webb provided introductions and served as moderator.

Ms. Rachel Fowlkes, who is a newly appointed member of the Radford board, spoke about the role of the Southwest Virginia Higher Education Center, where she formerly served as executive director.

Former Senator Walter Stosch, a newly appointed member of the State Board for Community Colleges, said that accessibility and affordability are important to him and noted that he received the benefit of the GI Bill. As a budget conferee in the Virginia General Assembly, he saw firsthand how the decisions about spending priorities were a balancing act. He said that board members have an excellent opportunity to influence the future.

Delegate Steve Landes informed members that he carried the legislation that requires board members to attend this orientation. He spoke about the budget development process in the General Assembly, pressures related to affordability and access, the relationship between PK12 and higher education, and the importance of the four goals of The Virginia Plan for Higher Education. The panel answered questions from attendees.

Legal, Ethical and Fiduciary Responsibilities

Mr. Henry Light introduced the panel and served as moderator.

Mr. Al Wilson, Assistant Attorney General, talked about representation of public institutions by the Office of the Attorney General and its historical context. He advised members to communicate with counsel on legal matters. He advised members not to consult with attorney friends who may not be familiar with legal matters in the public sector. He spoke about immunities and noted that some are automatic in the role as a public official. The state provides insurance for official acts.

Mr. Tim Oksman talked about the Virginia Conflict of Interests Act. He said it can be a sword and a shield. Mr. Oksman reviewed prohibited conduct under the act, including contracts and transactions. Mr. Oksman advised members to seek legal advice from the correct counsel.

Ms. Maria Everett discussed the Freedom of Information Act (FOIA) and showed a video explaining the need for awareness about what constitutes a public meeting and a public record. The panel answered questions from attendees.

Financing Public Higher Education

Mr. Michael Maul from the Department of Planning and Budget served as moderator discussed the state operating budget and higher education programs authorized in the budget. He reported that Virginia ranks 25th among all states in total funding per full-time equivalent student.
Ms. Colette Sheehy from the University of Virginia said her institution has tried to diversify funding streams as a way to buffer changes in state support. She talked about Access UVA, which provides $110 million in student financial aid, but indicated that, both the number and percentage of students who are eligible for need-based aid has grown.

Mr. Jim Chapman from Virginia Tech talked about declined investment in public education and noted that this causes institutions to look at other sources of revenue, including tuition. He urged members to review their institution’s finance committee reports to determine areas in which costs can be reduced. Mr. Chapman spoke about the Fund for the Future at Virginia Tech, which is focused on limiting tuition increases. He advised members that one conversation they should be having is about diversity and inclusion.

Ms. J. J. Wagner Davis from George Mason University said that from a financial standpoint, board members must scrutinize everything and make sure money is assigned to the institution’s priorities.

The panel also discussed other issues of concern, including consortia purchasing and sharing of resources, online learning, sharing of classes, and in-state/out-of-state student mix.

The panel answered questions from attendees.

**Effective Institutional Governance**

Mr. Ken Ampy served as moderator and asked the panel a series of questions.

Mr. Paul Trible, president of Christopher Newport University, said boards should empower presidents to have audacious dreams. He said the president should set the vision, and board members should support that vision. The vision should be strong, visionary, and courageous and board members should act decisively if the leadership is lacking.

Ms. Johnston said the board should hear and ask questions and hold presidents accountable for doing their job by implementing a robust system of assessment.

Mr. Taylor Reveley IV, president of Longwood University, said while governance by a board was invented by academia and was borrowed by the corporate world, there is no real cookbook for how to do it. It requires a high degree of trust for good information to flow. The goal is to ensure there are no surprises.

Mr. John Luke, Rector of Virginia Commonwealth University, said that the board’s role is to understand the issues of the day and what the president and team are dealing with, e.g., finances, academic quality, and programs. The board should strive to build trust through open communication and transparency.

Mr. Luke said it is important to ensure that a good crisis management plan is in place that includes a framework around which the board can respond. He also stressed the importance of ensuring that the board react on facts rather than emotions. It is also important to have a single spokesperson for the board and the university.
Mr. Reveley said information flow to the public is imperative in time of crisis and communication needs to be through one spokesperson.

President Trible spoke about the need to learn what is happening on campus. He also stressed the importance of sexual assault programs and the need to get more serious about protecting the lives of young people who are entrusted to the institutions.

Ms. Johnston advised board members to keep their fiduciary duties at the forefront, develop trust, and focus on ethics. She also noted the importance of culture in developing trust.

Mr. Luke added that the board should be open to the perspectives that each new member brings to the board.

Adjournment

Mr. Blake and Mr. Minor provided a summary of the session and thanked members for their attendance.

The meeting adjourned at 4:30 p.m. and certificates of completion and Governor's pins were distributed to members by the Secretary of the Commonwealth.

The meeting adjourned at 4:30 p.m.

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G. Gilmer Minor, III
Council Chair

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Lee Ann Rung
Director, Executive & Board Affairs
Attendees - 2016 Boards of Visitors Orientation

Christopher Newport University
Lindsey Carney
Terri McKnight

The College of William & Mary
Warren Buck, III
Doug Bunch
Sue Gerdelman
Anne Leigh Kerr
Brian Woolfolk

George Mason University
Jimmy Hazel
Tracy Shar
Bob Witeck
Lisa Zuccari

James Madison University
Mike Battle
Maribeth Herod
Lara Major
John Rothenberger

Longwood University
Katharine Bond
Steven Gould
Nettie Simon-Owens
Robert Wertz

Norfolk State University
Deborah DiCroce
Devon Henry

Old Dominion University
Yvonne Allmond
Bruce Bradley
Larry Hill
Toykea Jones

Radford University
Robert Archer
Jay Brown
Krisha Chachra
Rachel Fowlkes
Debra McMahon

State Board for Community Colleges
Nathaniel Bishop
David Broder
Susan Gooden
Bill Hall, Jr.
Walter Stosch

University of Mary Washington
Ted Hontz
Davis Rennolds
Deidre White

University of Virginia
Whitt Clement
Elizabeth Cranwell
Tom DePasquale
Babur Lateef
Jim Murray
Jimmy Reyes
Jeff Walker

Virginia Commonwealth University
Ben Dendy
Ronald McFarlane
Keith Parker
Shantaram Talegaonkar

Virginia Military Institute
Charles Dominy
Scot Marsh
Carl Strock

Virginia State University
Pamela Currey
Paul Koonce
Glenn Sessoms
James Stegmaier

Virginia Tech
Greta Harris
Chris Petersen

State Council of Higher Education for Virginia
Peter Blake, Director
Ken Ampy, Council Member
Dan Gundersen, Council Member
Henry Light, Council Member
G. Gilmer Minor, III, Council Chair
William Murray, Council Member
Thomas Slater, Jr., Council Member
Katharine Webb, Council Member

Governor
The Hon. Terry McAuliffe, Governor of Virginia

Cabinet Members
The Hon. Ric Brown, Secretary of Finance
The Hon. Kelly Thomasson, Secretary of the Commonwealth
The Hon. Dietra Trent, Secretary of Education

Other Participants
Jim Chapman, Virginia Tech
Maria J.K. Everett, FOIA Advisory Council
The Hon. Kim Hunter Reed, U.S. Department of Education
The Hon. R Steven Landes, Virginia House of Delegates
John Luke, Virginia Commonwealth University Michael Maul, Virginia Department of Planning and Budget
James Murray, University of Virginia
Tim Oksman, Office of the Attorney General
Taylor Reveley, IV, Longwood University
Colette Sheehy, University of Virginia
The Hon. Paul S. Trible, Jr., Christopher Newport University
J.J. Wagner Davis, George Mason University
Susan Whealler Johnston, Association of Governing Boards
Al Wilson, Office of the Attorney General
Boards of Visitors Orientation
Public Higher Education’s Public Purposes in the 21st Century
October 18 - 19, 2016
Richmond, Virginia

Tuesday, October 18: Capitol Building

3:30 – 4:00 p.m. REGISTRATION AND NETWORKING
House Room 3, Capitol Building (Bank Street entrance)

4:00 – 4:15 Welcome, Introductions and Overview
- Peter Blake, Director, State Council of Higher Education
- Gil Minor, Chair, State Council of Higher Education

4:15 – 5:00 Perspectives on Board Service
Introductions: Gil Minor, Chair, State Council of Higher Education
- Terry McAuliffe, Governor of Virginia
- Kelly Thomasson, Secretary of the Commonwealth
- Ric Brown, Secretary of Finance
- Dietra Trent, Secretary of Education

5:00 – 6:00 The Public Purposes of 21st-Century Public Higher Education
Introduction: Tom Slater, Member, State Council of Higher Education
- Kim Hunter Reed, Deputy Under Secretary, U.S. Department of Education

6:00 – 7:30 RECEPTION
Capitol Rotunda
Introductions: Gil Minor, Chair, State Council of Higher Education
- Ralph Northam, Lieutenant Governor of Virginia
- Mark Herring, Attorney General of Virginia
Boards of Visitors Orientation  
Public Higher Education’s Public Purposes in the 21st Century

Wednesday, October 19: Capitol Building

7:30 – 8:00 a.m.  REFRESHMENTS, CHECK-IN AND NETWORKING  
House Room 3, Capitol Building (Bank Street entrance)

8:00 – 8:15  Greetings and Opening Remarks
  • Peter Blake, Director, State Council of Higher Education
  • Gil Minor, Chair, State Council of Higher Education

8:15 – 9:15  Consequential Boards: Best Practices of Public-institution Trustees
  Introduction: Peter Blake, Director, State Council of Higher Education
  • Susan Whealler Johnston, Executive Vice President and Chief Operating Officer, Association of Governing Boards, Member, Radford University Board of Visitors
  • Commenting: James B. Murray, Member, University of Virginia Board of Visitors
  Closing: Peter Blake, Director, State Council of Higher Education

9:15 – 10:30  From Excellent Postsecondary System to Best-Educated State: Boards’ Roles in Fulfilling The Virginia Plan for Higher Education
  Moderator: Katharine Webb, State Council of Higher Education
  • Delegate R. Steven Landes, Member, Virginia House of Delegates
  • Walter Stosch, Member, State Board for Virginia Community Colleges
  • Rachel Fowlkes, Member, Radford University Board of Visitors

10:30 – 10:45  BREAK

10:45am – 12:15pm  Legal, Ethical and Fiduciary Responsibilities of Boards:
  Introduction: Henry Light, State Council of Higher Education
  • Maria J.K. Everett, Executive Director, FOIA Council
  • Tim Oksman, Opinions Counselor for the Attorney General
  • Al Wilson, Senior Assistant Attorney General
  Closing: Peter Blake, Director, State Council of Higher Education

12:15 – 1:30  LUNCH  
Senate Room 3, Capitol Building

Group/Table Discussions:
  • Conveying Citizenship Skills (as well as Workplace Skills)
  • Civil Discourse/Campus Dialogue (Free Speech, Assembly/Protest)
  • Student Welfare and Campus Safet
  • FOIA Implications for Boards and Individual Members
  • Foundations as Independent Entities and the Bounds of Trustees’ Involvement
1:30 – 3:00  **Financing Public Higher Education: Impacts of Changing Demographics and Revenue Uncertainties on Affordability, Costs, Tuition and Aid**  
*Moderator:* Michael Maul, Associate Director, Department of Planning and Budget  
- Jim Chapman, Rector, Virginia Tech Board of Visitors  
- Colette Sheehy, Senior Vice President for Operations, University of Virginia  
- Jennifer (J.J.) Wagner Davis, Senior Vice President for Administration and Finance, George Mason University

3:00 – 3:15  **BREAK**

3:15 – 4:15  **Effective Institutional Governance: Leadership of Public Institutions and Administration of their Missions and Public Purposes**  
*Moderator:* Ken Ampy, Member, State Council of Higher Education  
- Susan Whealler Johnston, Executive Vice President and Chief Operating Officer, Association of Governing Boards, Member, Radford University Board of Visitors  
- John Luke, Rector, Virginia Commonwealth University Board of Visitors  
- Taylor Reveley IV, President, Longwood University  
- Paul Trible, President, Christopher Newport University

4:15 – 4:30  **Synthesis, Conclusions and Appreciation**  
- Peter Blake, Director, State Council of Higher Education  
- Gil Minor, Chair, State Council of Higher Education

4:30  **Distribution of Certificates of Completion and Governor’s Pins**  
- Kelly Thomasson, Secretary of the Commonwealth  
- Ben Hermerding, Records Manager, Office of the Secretary of the Commonwealth

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**Planning Committee Members**

John Alger, James Madison University  
Peter Blake, State Council of Higher Education for Virginia  
Fran Bradford, College of William and Mary  
Paula Gentius, Norfolk State University  
Eva Hardy, Virginia Commission on Higher Education Board Appointments  
Penelope Kyle, Radford University  
Henry Light, State Council of Higher Education for Virginia  
Makola Abdullah, Virginia State University  
Emily O’Brion, Longwood University  
Kelly Thomasson, Secretary of the Commonwealth  
Dietra Trent, Secretary of Education  
Al Wilson, Office of the Attorney General  
Katharine Webb, State Council of Higher Education for Virginia
STATE COUNCIL OF HIGHER EDUCATION FOR VIRGINIA  
EXECUTIVE COMMITTEE  
OCTOBER 24, 2016  
MINUTES

Mr. Minor called the meeting to order at 11:03 a.m. in the 9th floor board room, Virginia Economic Development Partnership offices, 901 E. Cary Street, Richmond, Virginia. Committee members present: Marge Connelly, Heywood Fralin, G. Gilmer Minor, III, and Minnis Ridenour. Al Wilson from the Office of the Attorney General was also present.

Committee member absent: Gene Lockhart.

Other Council members present: Henry Light, William Murray, Carlyle Ramsey, Tom Slater, and Katharine Webb.


APPROVAL OF MINUTES

On a motion by Mr. Minor and seconded by Mr. Ridenour, the minutes from the September 19, 2016, meeting were approved unanimously.

ACTION ON PROPOSED BYLAWS CHANGES

Mr. Minor reminded members of the change discussed at the last meeting, i.e., changing the election of officers to the beginning of the fiscal year rather than waiting for the Governor to make appointments. In most years, the appointments are made in a timely manner, but if the appointments are made later than July 1, it could affect the Council’s ability to provide an orientation and prepare new members for their first meeting. Mr. Fralin agreed with the reasoning, and Ms. Webb echoed her support.

On a motion by Mr. Ridenour and seconded by Mr. Fralin, the following resolution was approved unanimously to be forwarded to the full Council:

BE IT RESOLVED that the State Council of Higher Education for Virginia, pursuant to the SCHEV Bylaws Section Eight, following presentation in writing and discussion at the September 19, 2016, Council meeting, and following a three-quarters vote of the total Council membership at the October 25, 2016, SCHEV Council meeting, adopt the amended version of the SCHEV Bylaws dated October 25, 2016. Council also requests that staff distribute the amended bylaws to the members and post a copy on the SCHEV website.
UDPATE ON BUDGET

Mr. Blake reported on the Governor’s recently-announced plans to address the budget shortfall. The salary increases for state employees, including faculty, were voided automatically when revenues did not meet projections. While the Governor’s plan did not include budget reductions to the public degree-granting institutions it did propose reductions to other areas of higher education. These areas included the Library of Virginia (VIVA), the Virginia Women’s Institute for Leadership (VWIL) and Eastern Virginia Medical School (EVMS), as well as the five regional higher-education centers.

He noted the continuing demands on the budget and acknowledged that FY18 will be more difficult because consecutive-year uses of the rainy day fund are not allowed. Mr. Blake indicated that the final budget-balancing plan will be clear in February or March.

Mr. Ridenour expressed concern that as general funds continue to be reduced, the institutions could potentially lose the autonomy that was provided through the Restructuring Act. Mr. Blake suggested that since the Restructuring Act has been in existence for 10 years, it would be helpful, particularly for new members, if staff scheduled a discussion explaining the Act’s purpose and how it has evolved over the years, including the possibility of a phase 2. Ms. Webb suggested that legislators be invited to participate in the discussion and noted that it would be useful to demonstrate ways in which the issues relate to The Virginia Plan. Mr. Minor reminded members that Mr. Ridenour was instrumental in helping to develop Restructuring’s first phase and suggested that he could be instrumental in any discussions related to a phase 2.

Members discussed the Eastern Virginia Medical School (EVMS) budget reductions, and Dr. Murray requested that staff clarify why students at some hospital-affiliated nursing programs receive Tuition Assistance Grant (TAG) funds and others do not. Mr. Blake agreed to report back to the Council. Mr. Light suggested that Council continue to support shielding EVMS from future budget reductions. Mr. Fralin expressed thanks to the Governor for the funding provided to higher education in the last General Assembly session.

Mr. Blake explained the reductions to SCHEV’s budget and indicated that economies will be realized by utilizing wage staff and holding open unfilled positions. Dr. Edwards introduced Ms. Seuffert, the agency’s new Associate for Research Investment. Mr. Blake announced additional new staff hires in the Longitudinal Data System (VLDS) area and reported that an administrator for the new workforce program should be announced soon. He reminded members that with the budget cuts that SCHEV received, no capacity exists to hire beyond these three positions.

Following from the discussion of the Restructuring Act, Mr. Blake noted related passages in the budget recommendation on page 68. Members recommended edits, and staff agreed to provide a revised version of the resolution at the October 25 Council meeting.
Mr. Minor requested that Mr. Ridenour provide a short overview of Restructuring. Mr. Ridenour explained the main purpose, which was to provide public institutions with opportunities for greater autonomy in exchange for their commitments to meeting state goals and needs.

**MOTION TO ADJOURN**

On a motion by Mr. Ridenour and seconded by Mr. Fralin the meeting adjourned at 12:10 p.m.

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Minnis Ridenour  
Council Secretary

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Lee Ann Rung  
Director, Executive & Board Affairs
Mr. Minor called the meeting to order at 9:05 a.m. in the SCHEV main conference room, 101 N. 14th Street, Richmond, Virginia. Council members present: Marge Connelly, Heywood Fralin, Dan Gundersen, Henry Light, G. Gilmer Minor, III, William Murray, Carlyle Ramsey, Minnis Ridenour, Tom Slater, and Katharine Webb.

Council members absent: Ken Ampy, Gene Lockhart, and Pamela Moran.

Staff members present: Lee Andes, Peter Blake, Beverly Covington, Joe DeFilippo, Alan Edwards, Laura Gogia, Dan Hix, Wendy Kang, Sylvia Rosa-Casanova, Feng Raoking, Lee Ann Rung, Lynn Seuffert, Paul Smith, Greg Weatherford, and Yan Zheng. Al Wilson from the Office of the Attorney General was also in attendance.

**MOMENT OF SILENCE**

Mr. Minor requested a moment of silence in memory of Mr. Lockhart’s son, Andrew.

**RECEIPT OF PUBLIC COMMENT**

Mr. Minor reported that no requests for public comment had been received. He invited comments from those in attendance; no one came forward.

**APPROVAL OF MINUTES**

On a motion by Mr. Light and seconded by Mr. Slater, the minutes from the September 20, 2016, meeting were approved unanimously with the correction of two minor typos that Mr. Light suggested.

**REMARKS FROM MARY G. MORRIS, CHIEF EXECUTIVE OFFICER, VIRGINIA529 COLLEGE SAVINGS PLAN**

Mr. Minor introduced Ms. Morris and she reported that the VA529 is the largest 529 college savings plan in the country, mostly due to the relationship with College America. She noted that it is more than a prepaid program. Tax advantage disability accounts will be offered later this year. Ms. Morris stressed the importance of making the public aware of what is available. The “tuition monster” is the spokesperson targeting families and is meant to be annoying but not scary. She distributed several handouts which were made available on the SCHEV website.
For the past 5-6 years the VA529 program has focused on no only asset management but also financial education to help families understand and access what’s available to those with fewer resources. The most effective plan for low and moderate income families is SOAR Virginia, which is an early commitment scholarship program that encourages students to commit to earning good grades, being good citizens, and pursuing higher education. The program provides scholarship support up to $2,000. Her agency is working with GRASP, the Access College Foundation, Project Discovery and the Virginia Community College System career coaches program to implement the SOAR Virginia program.

The VA529 program is changing its prepaid model in the near future. Under consideration is a weighted average payout program for new contracts. When the 529 program was first started 20 years ago, most institutions had the same tuition model. However, the differential tuition instituted by some colleges has created a problem for the prepaid program. The new payout model will require legislative support. Ms. Morris reported that most contracts are purchased for a few semesters rather than a full four-year contract. She answered questions from members.

REPORT OF THE AGENCY DIRECTOR

In preparation for the annual report on The Virginia Plan for Higher Education, and as requested at the October 24 special meeting, staff will poll members and schedule an additional meeting before year-end.

Mr. Blake acknowledged Beth Murphy, President of Bryant and Stratton College who was in attendance. President Murphy is also a member of the Norfolk State University board of visitors.

The following new SCHEV staff members were introduced: Lynn Seuffert, Associate for Research Investment; Dr. Feng Raoking, VLDS Business Intelligence Specialist; and Dr. Laura Gogia, part-time VLDS Business Intelligence Liaison.

Mr. Blake highlighted the items from his report that were included in the agenda book.

Mr. Minor thanked the SCHEV team for their participation in the Boards of Visitors (BOV) orientation and praised the quality of the program. He also expressed thanks to Delegate Steve Landes and the legislature for funding the event.

Mr. Gundersen and Dr. Ramsey joined the meeting at 10:05.

REPORTS FROM THE COMMITTEES

Report from Executive Committee

Mr. Minor reported that the Executive Committee approved changes to the bylaws. He explained that the primary reason for the change that was to update the timing of election of officers. Mr. Light reminded everyone that action on amendments to the
bylaws requires an affirmative vote by at least three-quarters of the membership (at least 10 of the 13). Because only nine members were present, this item will be held for action at the January 2017 meeting.

Report from Academic Affairs Committee

Dr. Ramsey reported on the following items from the Academic Affairs Committee meeting and asked Dr. DeFilippo to provide additional information:

*Action on Guidelines for Study Abroad*

Dr. DeFilippo said the Committee requested more information about study abroad programs and as a result, he will invite experts to speak to the Committee at a future meeting. The Committee’s recommendation was seconded by Mr. Fralin and the following resolution was approved unanimously (9-0):

> **BE IT RESOLVED** that the State Council of Higher Education for Virginia (SCHEV) approves the attached *Virginia Public Higher Education Guidelines for Study Abroad*. The *Guidelines* shall become effective immediately.

*Action on Private and Out-of-State Post-secondary Education (POPE) Institutional Certifications*

Dr. Ramsey provided a brief overview and invited Dr. DeFilippo to provide additional information. The Committee’s recommendation was seconded by Mr. Light and the following resolution as approved unanimously (9-0):

> **BE IT RESOLVED** that the State Council of Higher Education for Virginia certifies Worcester Polytechnic Institute to operate a degree-granting postsecondary institution in the Commonwealth of Virginia, effective October 25, 2016.

Dr. Ramsey invited Dr. DeFilippo to speak about the Virginia Engage/Governor’s Summit on Civic Engagement on October 6-7 in Danville. Dr. DeFilippo provided a brief overview and indicated that the event ties into the goals of *The Virginia Plan for Higher Education*.

Report from Resources and Planning Committee

*Action on 2016-18 Systemwide Operating Budget Amendments*

Ms. Connelly said the Committee reviewed final budget-amendment recommendations. She invited Mr. Hix to provide a presentation which highlighted *The Virginia Plan* and budget priorities’ links to it in FY2018. Mr. Blake distributed a modified resolution that included changes suggested by the Committee members.
Based on a suggestion from Mr. Fralin, Mr. Hix agreed to consider the inclusion of operation and maintenance of new facilities costs and deferred maintenance needs into future capital outlay recommendations.

The Committee’s revised recommendation was seconded by Mr. Slater and the following resolution was approved unanimously (9-0):

WHEREAS, Goal #1 of the Virginia Plan for Higher Education is to provide affordable higher education access for all, with the alignment of state appropriations, financial aid, and tuition and fees being critical to attaining this goal and thereby enabling our graduates to compete in the global market place and advance the economic and cultural prosperity of the Commonwealth and its regions, now therefore,

BE IT RESOLVED that the State Council of Higher Education for Virginia recommends that additional operating budget appropriations be provided in FY2018 for:

- Base Adequacy/Cost of Education, $4.4 million in general fund and $3.8 million from nongeneral funds for a total of $8.2 million in FY2018;
- Faculty Salaries, $21.6 million in general fund and $21.6 million from nongeneral funds for a total of $43.2 million in FY2018;
- Operation and Maintenance of New Facilities Coming Online, $8.1 million in general fund and $5.8 million from nongeneral funds for a total of $13.9 million in FY2018;
- The Higher Education Equipment Trust Fund, $8.9 million in additional allocations to meet the Council’s original recommendation of $75.9 million for FY2018 to be financed through debt service payments beginning in FY2019.
- Undergraduate Student Financial Aid, $8.9 million in general fund in FY2018;
- Two-Year College Transfer Grant, $900,000 in general fund in FY2017 and $1.9 million in general fund in FY2018;
- Tuition Assistance Grant, increase the maximum award for undergraduate students to $3,350 in FY2018, no additional funding required.

BE IT RESOLVED that the State Council of Higher Education for Virginia recommends that institutions be given the flexibility to award additional merit-based salary increases beyond the state-authorized three percent increase recommended above in order to attract and retain high-performing faculty and move closer to the Commonwealth’s 60th percentile goal. Any such increases shall be incorporated as authorized or appropriated increases in future
benchmark calculations of need. Tuition-related increases should be minimal and not compromise affordable access for Virginia undergraduate students.

BE IT FURTHER RESOLVED that, the State Council of Higher Education for Virginia commends our public institutions of higher education for their financial management strategies—best practices that have allowed them to maintain their viability through economic downturns—and affirms its support for the flexibility and autonomy that is provided to those institutions through the Restructured Higher Education Financial and Administrative Operation Act of 2005 and the Virginia Higher Education Opportunity Act of 2011, within the framework of accountability and transparency required of our colleges and universities to perform and be measured on that performance.

BE IT FINALLY RESOLVED that the State Council of Higher Education for Virginia strongly supports the protection provided the public institutions of higher education by the Code of Virginia (§23.1-101.2) which states that when measuring the extent to which the Commonwealth shall finance higher education, the availability of endowment funds and unrestricted gifts from private sources “shall neither be taken into consideration in nor used to reduce state appropriations or payments and shall be used in accordance with the wishes of the donors of such funds to strengthen the services rendered by these institutions to the people of the Commonwealth.”

Report of 2015-16 Degree Completions

Ms. Connelly asked Mr. Massa to provide a brief overview of the presentation he gave to the Committee. Mr. Massa reported that the TJ21 goal of 100,000 additional Virginia degrees by 2025 should be met, as well as The Virginia Plan target of 1.5 million additional awards of credentials by 2030. He indicated that all states are trying to meet similar goals. Mr. Massa reported that Psychology is still the most popular degree at four-year institutions, and is the favored degree by those entering the teaching profession.

RECEIPT OF ITEMS DELEGATED TO STAFF

Mr. Blake noted the categories of recent actions taken by staff as delegated by the Council. As required, a copy of these actions is attached to the minutes.

INTRODUCTION OF NEW BUSINESS

Ms. Connelly requested that the Council have further discussion on understanding costs. She will work with staff to see what information is available. She suggested that Mr. Lockhart and other members with a financial background be consulted.

Mr. Minor thanked Mr. Gundersen for hosting the Committee meetings at the Virginia Economic Development Partnership (VEDP) on October 24.
Mr. Minor asked that members check their availability at the end of November/first of December for an additional meeting to follow-up on *The Virginia Plan*. Ms. Rung will poll all members and schedule a meeting from approximately 10:00 a.m. -2:00 p.m.

Mr. Minor asked the citizens and constituents in attendance for their feedback to help SCHEV determine ways in which the Council meetings could be more meaningful and communicative. He noted that the Council is open to suggestions but understands certain things that cannot be changed, such as the conference room layout.

**ADJOURNMENT**

On motion by Ms. Connelly and seconded by Mr. Slater, the meeting adjourned at 11:00 a.m.

______________________________
Minnis Ridenour
Council Secretary

______________________________
Lee Ann Rung
Director, Executive and Board Affairs
Items Delegated to Director/Staff

Pursuant to the Code of Virginia, Section 23-9:6:1 and Council’s “Policies and Procedures for Program Approval and Changes,” the following item was approved as delegated to staff:

Program Actions

<table>
<thead>
<tr>
<th>Institution</th>
<th>Degree/Program/CIP</th>
<th>Effective Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Paul D. Camp Community College</td>
<td>Facilitated Staff Approval: Associate of Applied Science degree program in Mechatronics (15.0613) [Conferral: Spring 2018]</td>
<td>Fall 2016</td>
</tr>
</tbody>
</table>

Pursuant to the Code of Virginia, Section 23-9:6:1 and Council’s “Policies and Procedures for Program Approval and Changes,” the following items were reported:

Certificate Programs

<table>
<thead>
<tr>
<th>Institution</th>
<th>Degree/Program/CIP</th>
<th>Effective Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dabney S. Lancaster Community College</td>
<td>Initiate Undergraduate Certificate program in Sustainable Agriculture and Horticulture (01.0601) [Conferral: Spring 2017]</td>
<td>Fall 2016</td>
</tr>
<tr>
<td>Old Dominion University</td>
<td>Initiate Undergraduate Certificate program in Diversity Studies (30.2301) [Conferral: Spring 2017]</td>
<td>Spring 2017</td>
</tr>
</tbody>
</table>

Pursuant to the Code of Virginia, Section 23-9.14:3 and Council’s “Commonwealth of Virginia Policy on the Reciprocal Authorization of Distance Education and Related Activities,” the following item was approved as delegated to staff:

National Council for State Authorization Reciprocity Agreements (NC-SARA) Approvals

<table>
<thead>
<tr>
<th>Institution</th>
<th>Effective Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>George Mason University</td>
<td>August 31, 2016</td>
</tr>
</tbody>
</table>
Amended Regulations and Guidelines

As directed by the Council, staff members have amended the following regulations and guidelines to conform with and reflect the changes enacted by Chapter 588 of the 2016 Acts of Assembly to the current language and numbering of statutes in Title 23 of the Code of Virginia:

- Domicile Guidelines
- Regulations for the Senior Citizen Higher Education Program
- Virginia Student Financial Assistance Program Regulations
- Virginia Tuition Assistance Grant Program Regulations
- Virginia Two-Year College Transfer Grant Program Regulations
- Virginia Vocational Incentive Scholarship Program for Shipyard Workers Regulations
Mr. Minor called the meeting to order at 10:15 a.m. in the Capital Room C at the offices of Owens and Minor, Mechanicsville, Virginia. Council members present: Ken Ampy, Marge Connelly, Heywood Fralin, Dan Gundersen, Henry Light, Gene Lockhart, G. Gilmer Minor, III, Pamela Moran, William Murray, Carlyle Ramsey, Minnis Ridenour, Tom Slater, and Katharine Webb.

Staff members present: Peter Blake, Joe DeFilippo, Alan Edwards, Dan Hix, Wendy Kang, Tod Massa, Lynn Seuffert, Paul Smith, and Lee Ann Rung. Al Wilson from the Office of the Attorney General was also present.

**DISCUSSION OF THE VIRGINIA PLAN FOR HIGHER EDUCATION**

Mr. Minor asked that SCHEV staff provide a short presentation of the items related to The Virginia Plan and Mr. Blake provided background on what has been done to date.

Ms. Kang spoke about affordable pathways and answered questions from members.

Dr. DeFilippo distributed supplementary materials from priority initiatives 1 and 4 and summarized the progress to date. He also spoke about the transfer policy that Council approved in July, explaining that the policy was sent to institutions. Dr. DeFilippo answered questions from members. Members suggested that Dr. Staples be invited to present information on better alignment between PK-12 and higher education.

Members discussed job opportunities that require a credential. This led to further discussion about high school counselors, transitions to college, and transfer agreements between community college and universities. Dr. DeFilippo said he would work with staff and the State Committee on Transfer to promulgate better awareness, including improved advising about the cost savings that could result from receiving a credential from community college before transferring to a four-year institution.

Mr. Ridenour suggested that more focus be placed on preparing students at the 5th or 6th grade level when looking at ways to help them prepare for college. Dr. Moran suggested creating different pathways at an early age and said the solution should include ways to create experiences at the 3rd grade level.

Dr. Ramsey spoke about states with incentive policies for completing at a community college with guaranteed admission to a four-year institution. He suggested that a research project to collect more data from community colleges about this type of incentive be considered.
Mr. Minor summarized the discussions and indicated that there are many challenges that the Council could positively affect. Dr. Moran noted that success could not be achieved unless PK12 and higher education are fully integrated.

Dr. DeFilippo discussed the work plan for dual enrollment as outlined in the handout. Dr. Paul Smith, Associate for Student Mobility Policy and Research at SCHEV, answered questions about dual enrollment data that SCHEV has compiled. Members inquired about the possibility of conducting a student survey to better understand why students aren’t transferring or taking advantage of transfer agreements. Mr. Lockhart suggested that most of the information in Dr. DeFilippo’s handout could serve as an agenda for the Academic Affairs Committee for the coming year, with regular reports to the full Council.

There was brief discussion about regional meetings and dialogues with PK-12 and higher education. Mr. Blake suggested that this could be done through the affordable pathways partnership grants.

After discussing the business community’s involvement in higher education and the “GO Virginia” initiative, members requested that John “Dubby” Wynne, chairman of the Virginia Growth and Opportunity Board (the state board that oversees the “GO Virginia” grant programs) provide an update to Council. Mr. Fralin spoke about out-migration and stressed the importance of retaining talented and educated citizens in the Commonwealth. He would like the Council to keep the public informed on these important issues and suggested that staff arrange for members to meet with gubernatorial candidates to discuss the issues.

Mr. Blake reminded members that the affordable pathways grant would not have been possible without Mr. Minor’s efforts in meeting with the governor, legislators, and staff.

**APPROVAL OF MINUTES**

On a motion by Dr. Murray and seconded by Dr. Ramsey, the minutes from the September 19 and October 24 special meetings were approved unanimously.

**OTHER BUSINESS**

Mr. Minor thanked Mr. Gundersen for his contributions while serving on the Council as interim president at the Virginia Economic Development Partnership (VEDP). Mr. Gundersen stressed the importance of a strong connection between economic development and education. VEDP’s new president will begin in January and comes from a higher education institution.

The chairman called for a break at 11:50 a.m. The meeting resumed at 12:20 p.m.
DISCUSSION OF THE VIRGINIA PLAN FOR HIGHER EDUCATION (continued)

Mr. Hix presented information about sustainable funding, restructuring and shared services. Council members discussed tax code reform as a means to have more sustainable revenue sources. Mr. Fralin expressed an interest in modernizing the current tax structure and suggested that this could be another item to discuss with the gubernatorial candidates.

Mr. Ridenour said that, in response to the Council’s request at the October special meeting, he has begun thinking about next steps related to restructuring. He would like to bring suggestions to a future meeting. Mr. Fralin suggested that the Council prepare a plan for restructuring that the General Assembly can endorse. Mr. Lockhart said that Council could consider reviewing the shared services authority that has been developed in Indiana. Dr. Ramsey and Ms. Webb suggested getting an update on the shared services initiative that the Virginia Community College System (VCCS) is developing. Ms. Webb also said surveying other states to see if they are addressing shared services and efficiencies could be explored. Dr. Murray cautioned members about addressing current needs and solutions rather than thinking about long-term future needs for higher education.

Ms. Webb said the Council could benefit from learning more about the private institutions’ work in the areas of shared services in health insurance, retirement, and renewable energy.

Dr. DeFilippo addressed the quality initiative and reviewed the information in the handout related to the four outcomes. Members requested input from Mr. Massa related to data and surveys that could be useful related to student debt and employment after college.

Dr. Edwards presented information on initiative #6 related to academic research. He introduced Ms. Lynn Seuffert, Associate for Research Investment. Dr. Edwards reported that since joining the SCHEV staff, Ms. Seuffert has organized the first meeting of the Virginia Research Investment Committee. The second meeting of the committee is scheduled for December 5 to develop the framework for research competition and faculty recruitment competition. Dr. Edwards answered questions from members.

Mr. Minor encouraged collaboration among staff and Council members and cited an example where Ms. Kang and Mr. Weatherford worked with Ms. Webb on the communications initiative. Ms. Webb explained how the process unfolded and how it was successful. She said that through this experience, she learned the depth and the complexity of the staff’s work and she recommended that other members get involved in a particular area of interest to keep them engaged between Council meetings. Several members suggested that staff and Council consider writing a series of editorials on higher education.

Mr. Minor requested that staff capture the discussions from this meeting and inform members how they can assist in continuing to make progress. He opened the floor for additional ideas.
Mr. Minor requested that members mark their calendars for a special meeting on April 12 for another strategic plan follow-up discussion.

The meeting was adjourned at 2:10 p.m.

___________________________________
Minnis Ridenour
Council Secretary

___________________________________
Lee Ann Rung
Director, Executive and Board Affairs
State Council of Higher Education  
Director’s report  
January 10, 2017

Cybersecurity Public Service Scholarship: In December, Governor Terry McAuliffe announced the recipients of the Commonwealth’s first Cybersecurity Public Service Scholarship. Established during the 2016 General Assembly Session, the program provides up to $20,000 in tuition assistance to full-time students pursuing cybersecurity degrees in return for one year of public service per scholarship. The inaugural group of 25 recipients consists of 10 graduate students and 15 undergraduate students from seven different public and private universities.

Affordable Pathways Partnership Grant: The Governor also announced the first round of awards for the Affordable Pathways Partnership Grant provided through SCHEV’s Fund for Excellence and Innovation. The Governor and the General Assembly established this fund in 2016, in part to promote greater partnerships with PK12. The grants will provide support to expand or implement programs that offer opportunities for students to earn college credit while in high school and transition efficiently into higher education. The five grant awardees include the following partnerships: John Tyler Community College and Petersburg/Hopewell City Schools; Reynolds Community College and Richmond City Schools; Piedmont Virginia Community College and Albemarle County Schools; Patrick Henry Community College and Henry County/Martinsville City Schools; and Old Dominion University, Kempsville High School and Tidewater Community College. The grants are expected to save the first cohort of students $4.2 million, with an average savings per student of $10,000 for those who complete the program. SCHEV also recently announced a second round of smaller planning grants.  
https://governor.virginia.gov/newsroom/newsarticle?articleId=18449

New Economy Workforce Credential Grant: This grant program, established by the Governor and the General Assembly in 2016, is designed to provide affordable options for individuals to obtain an in-demand industry certification or state licensure through noncredit training. SCHEV administers the grant program, which began in July. All 23 community colleges and the Southern Virginia Higher Education Center are participating. The program included an initial appropriation of $4 million in FY 2017 and $12.5 million in FY 2018. The Governor’s introduced budget for the 2017 session includes an increase of $1 million in the first year of the program due to high enrollment. As of December 15, over 1,700 individuals have enrolled in the program, 500 have completed and 200 have received a workforce credential. Even with the expected increase in funding, the program might still expend the funds for FY 2017 prior to the end of the fiscal year. SCHEV staff members are working with the colleges and center to identify program priority strategies if funding is fully committed prior to July 1, 2017.
**Boards of visitors orientation:** SCHEV convened its annual orientation for newly appointed board members in October. Fifty-seven new members attended. Council members Ken Ampy, Henry Light, Gil Minor, Tom Slater and Katie Webb participated in the panel discussions. Approximately 91% of those who completed the survey rated the program as very valuable or extremely valuable. The sessions with the highest ratings included 1) Effective Institutional Governance; 2) Consequential Boards; and 3) Financing Public Higher Education.

**Communications initiative update:** SCHEV staff members are working on several items related to the communications initiative. These activities include: (1) submitting an annual report on The Virginia Plan to the General Assembly; (2) distributing during the General Assembly session a series of one-page papers highlighting elements of The Virginia Plan; (3) expanding outreach to middle and high school students and parents on the value of higher education and affordable pathway opportunities; (4) creating themes to be used with various audiences (students and parents, business leaders and policymakers) to promote the value of higher education.

**New College Institute:** In October I presented to the board of the New College Institute on options for further growth and development of the institute. In December, the board named new leadership and appointed Dr. Leanna Blevins as the institute’s executive director.

**Outstanding Faculty Awards:** The 2017 awards ceremony will take place February 16 at the Jefferson Hotel in Richmond. We recently announced the 12 recipients of this year’s awards. Institutions submitted nominations for 97 faculty members. Council members Tom Slater and Ken Ampy served on the selection committee.


**Out and about:** With Heywood Fralin, staff met with editorial board of the Roanoke Times. Staff prepared budget reduction plans for the Governor’s office and further developed three legislative proposals for the 2017 General Assembly session. We hosted two meetings of the General Professional Advisory Committee and sought presidents’ input on potential Council initiatives. Staff participated in tours of the Commonwealth Center for Advanced Manufacturing; the Institute for Advanced Learning and Research; and the INOVA Center for Personalized Medicine. I attended the Virginia Chamber annual conference; a Jobs for the Future convening on the future of community colleges; the Complete College America annual meeting of state and institutional partners; and a National Governors Association meeting on the relationship between federal and state higher education policy.
State Council of Higher Education for Virginia
Agenda Item

Item: Item #A7 – Action on Proposed Bylaws Changes

Date of Meeting: January 10, 2017

Presenter: Peter Blake, Director
(peterblake@schev.edu)

Most Recent Review/Action:
☑️ Previous review/action

Date: The Executive Committee reviewed the proposed amendments at the September 19, 2016, meeting.

Action: Action should have been taken at the October 25, 2016, meeting. However, bylaws changes require a three-quarters vote of the membership, which was not present at the October 25, 2016, meeting. Therefore, action was deferred to the January 10, 2017, meeting.

Background Information/Summary of Major Elements:

The Council Bylaws require review at least every four years. The Bylaws were last amended in October 2013. The following proposed amendments were reviewed at the September meeting:

- Changed the election of officers from the first meeting after June 30 to the last meeting prior to June 30 every year.
- Updated the Code references to reflect the Code Commission renumbering of higher education sections.
- Changed reference of “executive assistant” to “professional staff member.”
- Added reference to the Council’s emergency policy on electronic participation.

Section eight of the Bylaws requires that proposed amendments be presented in writing and for discussion at the meeting of the Council prior to the meeting when the amendments are to be voted upon. A three-quarters vote of the total membership shall be required to adopt any amendments to the Bylaws.

Materials Provided: Redlined version of proposed bylaws changes.

Financial Impact: No financial impact at this time.

Timetable for Further Review/Action: None.
Resolution:

BE IT RESOLVED that the State Council of Higher Education for Virginia, pursuant to the SCHEV Bylaws Section Eight, following presentation in writing and discussion at the September 19, 2016, Council meeting, and following a three-quarters vote of the total Council membership at the January 10, 2017, Council meeting, adopts the amended version of the SCHEV Bylaws dated January 10, 2017. Council also requests that staff distribute the amended bylaws to the members and post a copy on the SCHEV website.
SCHEV
Bylaws

Adopted: September 17, 2003
Amended: October 17, 2006
Amended and Restated: January 8, 2008
Amended: July 20, 2010
Amended: October 29, 2013
Amended: January 10, 2017

Prepared by
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The original SCHEV Bylaws were adopted in 1986 and specify that the Bylaws be reviewed every four years. Changes were made in 2003, 2006, 2008, 2010, and 2013. This report is presented to the Council from the Executive Committee and recommends revisions that reflect the Council’s current organizational structure and good-governance practices.

The major revisions to the Bylaws proposed are summarized as follows:

- Changed the election of officers from the first meeting after June 30 to the last meeting prior to June 30 every year.
- Updated the Code references to reflect the Code Commission renumbering of higher education sections.
- Changed reference from “executive assistant” to “professional staff member.”
- Added reference to the Council’s emergency policy on electronic participation.
- Changed Code reference number in Appendix A (result of recodification)
Bylaws of the State Council of Higher Education for Virginia

Amended by Council January 10, 2017

SECTION ONE

These Bylaws of the State Council of Higher Education for Virginia adopted January 10, 2017, supersede all previous Bylaws of the Council. The Council acknowledges that it is guided in its operations by law, various state regulations and by its own standing orders.

SECTION TWO

Responsibilities of the Council

The responsibilities of the Council of Higher Education shall be those specified in the Code of Virginia or assigned to the Council by the Governor or the General Assembly.

SECTION THREE

Council Officers and Method of Election

I. The Council annually shall elect a chair and vice chair and appoint a secretary from its membership to serve until their successors have been elected.

II. Election of the chair and vice chair, and appointment of the secretary shall be held at the last meeting of the Council prior to June 30 each year. Notification to all Council members of the date, time and place of the election meeting shall be made in writing at least two weeks prior to the meeting. The chair, vice chair, and secretary may be nominated by any member of the Council. Voting shall be by a voice vote or a show of hands. The nominee for each position receiving the majority of the votes cast by members attending the meeting shall be elected. No proxy voting shall be allowed.

III. The number of consecutive years a member may hold the same office shall be limited to three. Committee chair terms are not so limited. A vacancy in the office of chair shall be filled by the vice chair.

IV. A vacancy in the office of vice chair shall be filled by the secretary.

V. The Council shall establish such other offices as it deems necessary from time to time.

VI. The general duties of the chair shall include:
A. Preside at all meetings of the Council.
B. Serve as the official spokesperson and representative of the Council. On policy issues on which Council has not taken an official position, the chair shall consult the Executive Committee or act at the request of the Council.
C. The chair may delegate all or part of these duties to other members of Council as permitted by law.

VII. The general duties of the vice chair of the Council shall include:

A. Perform those duties delegated by the chair.
B. Serve as acting chair in the chair’s absence.

VIII. The general duties of the secretary of the Council shall include:

A. Perform those duties delegated by the chair.
B. Sign the official minutes of the Council.

SECTION FOUR

Membership of the Council

The membership of the Council is specified in Section 23.1-200 of the Code of Virginia.

SECTION FIVE

Council Staff

I. The Council shall employ a full-time director to serve as its chief administrator.

II. The duties of the director shall be specified by the Council. The director shall receive a performance evaluation at least annually in a manner specified by the Council. Except as specified in these Bylaws, the staff employees of the Council shall be supervised by the director. The director shall report to the Council. However, between Council meetings the director shall take guidance and direction from the chair on behalf of the Council.

III. The director, with the consent of the Council, shall appoint a professional staff member whose responsibilities will include assisting the director in making arrangements for Council meetings and keeping minutes of all Council meetings.
SECTION SIX

Council Meetings

I. The presiding officer of the Council shall be the chair who shall enforce the rules of procedure of the Council fairly and impartially. If the chair does not serve, the vice chair shall serve. If the vice chair cannot serve, the secretary will serve.

II. The Council shall meet at least quarterly or on the call of the chair. A majority of the Council may also call a meeting.

III. Written or oral notification of each meeting shall be given to each member of the Council or committee at least one week prior to the Council or committee meeting. A Council meeting may be called upon shorter notice by agreement of a majority of the members but must be in accordance with the open meeting requirements in the Freedom of Information Act.

IV. A written agenda for the Council or committee meetings shall be sent to each member approximately one week prior to the meeting unless the meeting has been called on shorter notice by agreement of a majority of the members.

V. Except as prescribed in these Bylaws, all Council meetings shall be conducted in accordance with the rules and procedures set forth in the most recent edition of Robert’s Rules of Order. For purposes of interpretation of Robert’s Rules of Order, Council meetings shall be considered a meeting of a “large” body. However, meetings of any committee of the Council shall be considered a meeting of a “small” body.

SECTION SEVEN

Committees

Establishment and Appointments. The Executive Committee shall be a standing committee of the Council and consist of the chair, vice chair and such other members as may be appointed by the chair. The Executive Committee shall make recommendations in all those areas concerning the internal management of Council operations, including the organization and effective functioning of the Council, its staff and its work. The Executive Committee shall act on behalf of the Council between meetings.

The chair may appoint such other standing committees or ad hoc committees from time to time as deemed appropriate or to ensure the efficient disposition of the Council’s work. The chair shall specify the purpose and duration of any ad hoc committee.

Terms of Office. The term of office of members appointed to the Executive Committee, any other standing committee or any ad hoc committee shall be at the pleasure of the Council’s chair.
**Quorum.** The presence of fifty percent of committee members shall constitute a quorum. For purposes of constituting a quorum of any committee, the Council’s chair and/or vice chair, when present, shall be considered members of that committee, entitled to take action within that committee.

**Electronic Participation.** In the case of emergency, an individual member of the Council may participate in meetings of the Council by electronic means as permitted by Virginia Code § 2.2-3708.1 and enumerated in the Council policy regarding electronic participation.

**Manner of Acting.** The act of the majority of the committee members present at a meeting at which there is a quorum shall constitute the act of the committee.

**Operation of Committees and Authority.** Committees shall not have authority of the Council except where specifically authorized by the Council.

**SECTION EIGHT**

These Bylaws shall be reviewed and revised, as necessary, at least every four years. Any member may propose amendments to the Bylaws at any time. Proposed amendments must be presented in writing and for discussion at the meeting of the Council prior to the meeting when the amendments are to be voted upon. A three-quarters vote of the total membership shall be required to adopt any amendments to these Bylaws.

*The attached appendix is not part of the SCHEV Bylaws. It is included here as reference material.*
Appendix A
Code of Virginia

Chapter 2

§ 23.1-200. (Effective October 1, 2016) State Council of Higher Education for Virginia established; purpose; membership; terms; officers.
A. The State Council of Higher Education for Virginia is established to advocate for and promote the development and operation of an educationally and economically sound, vigorous, progressive, and coordinated system of higher education in the Commonwealth and lead state-level strategic planning and policy development and implementation based on research and analysis and in accordance with § 23.1-301 and subsection A of § 23.1-1002. The Council shall seek to facilitate collaboration among institutions of higher education that will enhance quality and create operational efficiencies and work with institutions of higher education and their governing boards on board development.

B. The Council shall be composed of individuals selected from the Commonwealth at large without regard to political affiliation but with due consideration of geographical representation. Nonlegislative citizen members shall have demonstrated experience, knowledge, and understanding of higher education and workforce needs. Nonlegislative citizen members shall be selected for their ability and all appointments shall be of such nature as to aid the work of the Council and inspire the highest degree of cooperation and confidence. No officer, employee, trustee, or member of the governing board of any institution of higher education, employee of the Commonwealth, member of the General Assembly, or member of the Board of Education is eligible for appointment to the Council except as specified in this section. All members of the Council are members at large who shall serve the best interests of the whole Commonwealth. No member shall act as the representative of any particular region or of any particular institution of higher education.

C. The Council shall consist of 13 members: 12 nonlegislative citizen members appointed by the Governor and one ex officio member. At least one nonlegislative citizen member shall have served as a president or chief executive officer of a public institution of higher education. At least one nonlegislative citizen member shall be a division superintendent or the Superintendent of Public Instruction. The President of the Virginia Economic Development Partnership Authority shall serve ex officio with voting privileges.

D. All terms shall begin July 1.
E. Nonlegislative citizen members shall serve for terms of four years. Vacancies occurring other than by expiration of a term shall be filled for the unexpired term. No nonlegislative citizen member shall serve for more than two consecutive terms; however, a nonlegislative citizen member appointed to serve an unexpired term is eligible to serve two consecutive four-year terms. No nonlegislative citizen member who has served two consecutive four-year terms is eligible to serve on the Council until at least two years have passed since the end of his second consecutive four-year term. All appointments are subject to confirmation by the General Assembly. Nonlegislative citizen members shall continue to hold office until their successors have been appointed and confirmed. Ex officio members shall serve terms coincident with their terms of office.

F. The Council shall elect a chairman and a vice-chairman from its membership. The Council shall appoint a secretary and such other officers as it deems necessary and prescribe their duties and terms of office.

G. At each meeting, the Council shall involve the chief executive officer of each public institution of higher education in its agenda. The chief executive officers shall present information and comment on issues of common interest and choose presenters to the Council from among themselves who reflect the diversity of the institutions.

H. At each meeting, the Council may involve other groups, including the presidents of private institutions of higher education, in its agenda.

SCHEV Bylaws

Adopted: September 17, 2003
Amended: October 17, 2006
Amended and Restated: January 8, 2008
Amended: July 20, 2010
Amended: October 29, 2013
Amended: January 10, 2017

Prepared by
Preface

The original SCHEV Bylaws were adopted in 1986 and specify that the Bylaws be reviewed every four years. Changes were made in 2003, 2006, and 2008, 2010, and 2013. This report is presented to the Council from the Executive Committee and recommends revisions that reflect the Council’s current organizational structure and good-governance practices.

The major revisions to the Bylaws proposed are summarized as follows:

- Changed “election” of secretary to “appoint” to coincide with Code language
- Updated Appendix A to reflect new Council membership requirements per Code language
- Added language to ensure that any additional meetings comply with the open meeting requirements of the Freedom of Information Act
- Changed the election of officers from the first meeting after June 30 to the last meeting prior to June 30 every year.
- Updated the Code references to reflect the Code Commission renumbering of higher education sections.
- Changed reference from “executive assistant” to “professional staff member.”
- Added reference to the Council’s emergency policy on electronic participation.
- Changed Code reference number in Appendix A (result of recodification)
Bylaws of the State Council of Higher Education for Virginia

Amended by Council October 29, 2013 January 10, 2017

SECTION ONE

These Bylaws of the State Council of Higher Education for Virginia adopted October 29, 2013 January 10, 2017, supersede all previous Bylaws of the Council. The Council acknowledges that it is guided in its operations by law, various state regulations and by its own standing orders.

SECTION TWO

Responsibilities of the Council

The responsibilities of the Council of Higher Education shall be those specified in the Code of Virginia or assigned to the Council by the Governor or the General Assembly.

SECTION THREE

Council Officers and Method of Election

I. The Council annually shall elect a chair and vice chair and appoint a secretary from its membership to serve until their successors have been elected.

II. Election of the chair and vice chair, and appointment of the secretary shall be held at the first last meeting of the Council after prior to June 30 each year, when the Governor has filled all vacancies on the Council, but not later than the September meeting. Notification to all Council members of the date, time and place of the election meeting shall be made in writing at least two weeks prior to the meeting. The chair, vice chair, and secretary may be nominated by any member of the Council. Voting shall be by a voice vote or a show of hands. The nominee for each position receiving the majority of the votes cast by members attending the meeting shall be elected. No proxy voting shall be allowed.

III. The number of consecutive years a member may hold the same office shall be limited to three. Committee chair terms are not so limited. A vacancy in the office of chair shall be filled by the vice chair.

IV. A vacancy in the office of vice chair shall be filled by the secretary.
V. The Council shall establish such other offices as it deems necessary from time to time.

VI. The general duties of the chair shall include:
   A. Preside at all meetings of the Council.
   B. Serve as the official spokesperson and representative of the Council. On policy issues on which Council has not taken an official position, the chair shall consult the Executive Committee or act at the request of the Council.
   C. The chair may delegate all or part of these duties to other members of Council as permitted by law.

VII. The general duties of the vice chair of the Council shall include:
   A. Perform those duties delegated by the chair.
   B. Serve as acting chair in the chair’s absence.

VIII. The general duties of the secretary of the Council shall include:
   A. Perform those duties delegated by the chair.
   B. Sign the official minutes of the Council.

SECTION FOUR

Membership of the Council

The membership of the Council is specified in Section 23.9.3 23.1-200 of the Code of Virginia.

SECTION FIVE

Council Staff

I. The Council shall employ a full-time director to serve as its chief administrator.

II. The duties of the director shall be specified by the Council. The director shall receive a performance evaluation at least annually in a manner specified by the Council. Except as specified in these Bylaws, the staff employees of the Council shall be supervised by the director. The director shall report to the Council. However, between Council meetings the director shall take guidance and direction from the chair on behalf of the Council.

III. The director, with the consent of the Council, shall appoint a professional staff member whose responsibilities will include assisting an executive assistant to the
Council. The executive assistant shall assist the director in making arrangements for Council meetings and shall keep minutes of all Council meetings.
SECTION SIX

Council Meetings

I. The presiding officer of the Council shall be the chair who shall enforce the rules of procedure of the Council fairly and impartially. If the chair does not serve, the vice chair shall serve. If the vice chair cannot serve, the secretary will serve.

II. The Council shall meet at least quarterly or on the call of the chair. A majority of the Council may also call a meeting.

III. Written or oral notification of each meeting shall be given to each member of the Council or committee at least one week prior to the Council or committee meeting. A Council meeting may be called upon shorter notice by agreement of a majority of the members but must be in accordance with the open meeting requirements in the Freedom of Information Act.

IV. A written agenda for the Council or committee meetings shall be sent to each member approximately one week prior to the meeting unless the meeting has been called on shorter notice by agreement of a majority of the members.

V. Except as prescribed in these Bylaws, all Council meetings shall be conducted in accordance with the rules and procedures set forth in the most recent edition of Robert’s Rules of Order. For purposes of interpretation of Robert’s Rules of Order, Council meetings shall be considered a meeting of a “large” body. However, meetings of any committee of the Council shall be considered a meeting of a “small” body.

SECTION SEVEN

Committees

Establishment and Appointments. The Executive Committee shall be a standing committee of the Council and consist of the chair, vice chair and such other members as may be appointed by the chair. The Executive Committee shall make recommendations in all those areas concerning the internal management of Council operations, including the organization and effective functioning of the Council, its staff and its work. The Executive Committee shall act on behalf of the Council between meetings.

The chair may appoint such other standing committees or ad hoc committees from time to time as deemed appropriate or to ensure the efficient disposition of the Council’s work. The chair shall specify the purpose and duration of any ad hoc committee.

Terms of Office. The term of office of members appointed to the Executive Committee, any other standing committee or any ad hoc committee shall be at the pleasure of the Council’s chair.
Quorum. The presence of fifty percent of committee members shall constitute a quorum. For purposes of constituting a quorum of any committee, the Council’s chair and/or vice chair, when present, shall be considered members of that committee, entitled to take action within that committee.

Electronic Participation. In the case of emergency, an individual member of the Council may participate in meetings of the Council by electronic means as permitted by Virginia Code § 2.2-3708.1 and enumerated in the Council policy regarding electronic participation.

Manner of Acting. The act of the majority of the committee members present at a meeting at which there is a quorum shall constitute the act of the committee.

Operation of Committees and Authority. Committees shall not have authority of the Council except where specifically authorized by the Council.

SECTION EIGHT

These Bylaws shall be reviewed and revised, as necessary, at least every four years. Any member may propose amendments to the Bylaws at any time. Proposed amendments must be presented in writing and for discussion at the meeting of the Council prior to the meeting when the amendments are to be voted upon. A three-quarters vote of the total membership shall be required to adopt any amendments to these Bylaws.

The attached appendix is not part of the SCHEV Bylaws. It is included here as reference material.
$23.9.3. State Council of Higher Education for Virginia created; purpose; membership; terms; officers.

A. There is hereby created a State Council of Higher Education for Virginia, hereinafter sometimes referred to as the Council. The purpose of the Council shall be, through the exercise of the powers and performance of the duties set forth in this chapter, to advocate and promote the development and operation of an educationally and economically sound, vigorous, progressive, and coordinated system of higher education in the Commonwealth of Virginia and to lead state-level strategic planning and policy development and implementation based on research and analysis and in accordance with § 23-38.87:10 and subsection B of § 23-38.88. The Council shall also seek to facilitate collaboration among institutions of higher education that will enhance quality and create operational efficiencies and shall work with institutions of higher education and their boards on board development.

B. The Council shall be composed of persons selected from the Commonwealth at large without regard to political affiliation but with due consideration of geographical representation. Appointees shall have demonstrated experience, knowledge, and understanding of higher education and workforce needs. Appointees shall be selected for their ability and all appointments shall be of such nature as to aid the work of the Council and to inspire the highest degree of cooperation and confidence. No officer, employee, trustee, or member of the governing board of any institution of higher education, employee of the Commonwealth, member of the General Assembly, or member of the State Board of Education shall be eligible for appointment to the Council except as specified in this section. All members of the Council shall be deemed members at large charged with the responsibility of serving the best interests of the whole Commonwealth. No member shall act as the representative of any particular region or of any particular institution of higher education.

C. The Council shall consist of 13 members: 12 members appointed by the Governor and subject to confirmation by the General Assembly at its next regular session and one ex officio member. At least one appointee shall have served as a president or chief executive of a public institution of higher education in the Commonwealth. At least one member shall be a sitting Virginia school superintendent, either at the state or local level. The President of the Virginia Economic Development Partnership shall serve ex officio with voting privileges. All terms shall begin July 1. Members shall be appointed for four-year terms, except that appointments to fill vacancies occurring shall be for the unexpired term.

D. No person having served on the Council for two terms of four years shall be eligible for reappointment to the Council for two years thereafter.
E. The Council shall elect a chairman and a vice-chairman from its own membership and appoint a secretary and such other officers as it deems necessary or advisable and shall prescribe their duties and term of office.

F. At each meeting, the Council shall involve the presidents of the public institutions of higher education in its agenda. The presidents shall present information and comment on issues of common interest. The presidents shall choose presenters to the Council from among themselves who reflect the diversity of the institutions.

At each meeting, the Council may involve other groups, including the presidents of private, nonprofit institutions of higher education, in its agenda.


Appendix A

Code of Virginia

Chapter 2

§ 23.1-200. (Effective October 1, 2016) State Council of Higher Education for Virginia established; purpose; membership; terms; officers.

A. The State Council of Higher Education for Virginia is established to advocate for and promote the development and operation of an educationally and economically sound, vigorous, progressive, and coordinated system of higher education in the Commonwealth and lead state-level strategic planning and policy development and implementation based on research and analysis and in accordance with § 23.1-301 and subsection A of § 23.1-1002. The Council shall seek to facilitate collaboration among institutions of higher education that will enhance quality and create operational efficiencies and work with institutions of higher education and their governing boards on board development.

B. The Council shall be composed of individuals selected from the Commonwealth at large without regard to political affiliation but with due consideration of geographical representation. Nonlegislative citizen members shall have demonstrated experience, knowledge, and understanding of higher education and workforce needs. Nonlegislative citizen members shall be selected for their ability and all appointments shall be of such nature as to aid the work of the Council and inspire the highest degree of cooperation and confidence. No officer, employee, trustee, or member of the governing board of any institution of higher education, employee of the Commonwealth, member of the General Assembly, or member of the Board of Education is eligible for appointment to the Council except as specified in this section. All members of the Council are members at large who
shall serve the best interests of the whole Commonwealth. No member shall act as the representative of any particular region or of any particular institution of higher education.

C. The Council shall consist of 13 members: 12 nonlegislative citizen members appointed by the Governor and one ex officio member. At least one nonlegislative citizen member shall have served as a president or chief executive officer of a public institution of higher education. At least one nonlegislative citizen member shall be a division superintendent or the Superintendent of Public Instruction. The President of the Virginia Economic Development Partnership Authority shall serve ex officio with voting privileges.

D. All terms shall begin July 1.

E. Nonlegislative citizen members shall serve for terms of four years. Vacancies occurring other than by expiration of a term shall be filled for the unexpired term. No nonlegislative citizen member shall serve for more than two consecutive terms; however, a nonlegislative citizen member appointed to serve an unexpired term is eligible to serve two consecutive four-year terms. No nonlegislative citizen member who has served two consecutive four-year terms is eligible to serve on the Council until at least two years have passed since the end of his second consecutive four-year term. All appointments are subject to confirmation by the General Assembly. Nonlegislative citizen members shall continue to hold office until their successors have been appointed and confirmed. Ex officio members shall serve terms coincident with their terms of office.

F. The Council shall elect a chairman and a vice-chairman from its membership. The Council shall appoint a secretary and such other officers as it deems necessary and prescribe their duties and terms of office.

G. At each meeting, the Council shall involve the chief executive officer of each public institution of higher education in its agenda. The chief executive officers shall present information and comment on issues of common interest and choose presenters to the Council from among themselves who reflect the diversity of the institutions.

H. At each meeting, the Council may involve other groups, including the presidents of private institutions of higher education, in its agenda.

State Council of Higher Education for Virginia
Agenda Item

Item: Council #A9 - Receipt of Items Delegated to Staff
Date of Meeting: January 10, 2017

Presenter: Peter Blake, Director
peterblake@schev.edu

Most Recent Review/Action:
☑ No previous Council review/action
☐ Previous review/action
   Action: The Council approved delegation of certain items to staff

Background Information/Summary of Major Elements:
Council delegated certain items to staff for approval and reporting to the Council on a regular basis.

Materials Provided:

Program actions approved:
- George Mason University
- Norfolk State University
- Old Dominion University

Diploma, certificate and discontinued programs reported:
- Danville Community College
- George Mason University
- Longwood University
- Virginia Tech

National Council for State Authorization Reciprocity Agreements (NC-SARA) Approvals:
- Virginia Western Community College
- Appalachian College of Pharmacy
Items Delegated to Director/Staff

Pursuant to the Code of Virginia, Section 23-9:6:1 and Council’s “Policies and Procedures for Program Approval and Changes,” the following items were approved/not approved as delegated to staff:

Program Actions

<table>
<thead>
<tr>
<th>Institution</th>
<th>Degree/Program/CIP</th>
<th>Effective Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>George Mason University</td>
<td>New Degree Program Not Approved: Master of Arts degree program in Higher Education and Student Development (13.0406)</td>
<td>October 12, 2016</td>
</tr>
<tr>
<td>George Mason University</td>
<td>New Degree Program Not Approved: Master of Science degree program in Bioengineering (14.0501)</td>
<td>October 31, 2016</td>
</tr>
<tr>
<td>Norfolk State University</td>
<td>Facilitated Staff Approval: Master of Arts in Teaching degree program in Education (13.0101) [Conferral: Fall 2016]</td>
<td>Fall 2016</td>
</tr>
<tr>
<td>Old Dominion University</td>
<td>Title Change Approval: From a Bachelor of Arts in Foreign Languages (16.0101) to a Bachelor of Arts in World Languages and Cultures (16.0101)</td>
<td>Spring 2017</td>
</tr>
<tr>
<td>Old Dominion University</td>
<td>New Degree Program Not Approved: Master of Science degree program in Sport Management (31.0504)</td>
<td>November 3, 2016</td>
</tr>
</tbody>
</table>

Pursuant to the Code of Virginia, Section 23-9:6:1 and Council’s “Policies and Procedures for Program Approval and Changes,” the following items were reported:

Diploma, Certificate and Discontinued Programs

<table>
<thead>
<tr>
<th>Institution</th>
<th>Degree/Program/CIP</th>
<th>Effective Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Danville Community College</td>
<td>Initiate a new Undergraduate Certificate program in Cybersecurity (11.1003) [Conferral: Spring 2017]</td>
<td>Fall 2016</td>
</tr>
<tr>
<td>Danville Community College</td>
<td>Initiate a new Diploma program in Welding (48.0508) [Conferral: Spring 2018]</td>
<td>Fall 2016</td>
</tr>
<tr>
<td>George Mason University</td>
<td>Initiate a new Graduate Certificate program in Sport Coaching (13.1314) [Conferral: Spring 2017]</td>
<td>Spring 2017</td>
</tr>
<tr>
<td>Longwood University</td>
<td>Discontinue the Master of Arts degree program in English (23.0101) [Council</td>
<td>Spring 2018</td>
</tr>
<tr>
<td>Institution</td>
<td>Degree/Program/CIP</td>
<td>Effective Date</td>
</tr>
<tr>
<td>---------------------------</td>
<td>------------------------------------------------------------------------------------</td>
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</tr>
<tr>
<td>Longwood University</td>
<td>Discontinue the Master of Science degree program in Sociology (45.1101) [Council Approval: July 1, 1993]</td>
<td>Spring 2018</td>
</tr>
<tr>
<td>Virginia Tech</td>
<td>Initiate 14 new Graduate Certificate programs [Conferral: Spring 2017]: Business Analytics (52.1302); Business Analytics and Data Mining (52.1207); Data Analytics (11.0301); Database Management (11.0802); Entrepreneurship in Hospitality and Tourism Management (52.0701); Health Information Technology (51.2706); Hospitality and Tourism Analytics and Revenue Management (52.0904); Human Factors of Transportation Safety (30.0000); Information Security and Analytics (11.0103); International Hospitality and Tourism Strategy (52.1101); Public Health (51.2201); Remote Sensing (14.3801); Science and Technology Studies (30.1501); Software Development (11.0202)</td>
<td>Spring 2017</td>
</tr>
</tbody>
</table>

Pursuant to the Code of Virginia, Section 23-9.14:3 and Council’s “Commonwealth of Virginia Policy on the Reciprocal Authorization of Distance Education and Related Activities,” the following items were approved as delegated to staff:

**National Council for State Authorization Reciprocity Agreements (NC-SARA) Approvals**

<table>
<thead>
<tr>
<th>Institution</th>
<th>Effective Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>Virginia Western Community College</td>
<td>October 4, 2016</td>
</tr>
<tr>
<td>Appalachian College of Pharmacy</td>
<td>December 8, 2016</td>
</tr>
</tbody>
</table>
Dr. Murray called the meeting to order at 9:35 a.m. in the SCHEV Main Conference Room, 9th Floor, James Monroe Building, Richmond, Virginia.

Committee members present: Ken Ampy, William Murray

Committee members participating by phone: Minnis E. Ridenour

Committee members absent: H. Eugene Lockhart (Mr. Lockhart had arranged to participate telephonically; however, he was unable to establish a connection.)

Council members attending by phone: Henry Light

Staff present: Peter Blake, Alan Edwards, Lynn Seuffert. Al Wilson, SCHEV counsel from the Office of the Attorney General, also was in attendance.

WELCOME AND INTRODUCTIONS

Dr. Murray welcomed committee members and attendees, and he disclosed the locations of those participating by telephone. Telephonic participants introduced themselves.

REVIEW OF ENABLING LEGISLATION AND ROLE OF AD HOC COMMITTEE

At the invitation of Dr. Murray, Dr. Edwards stepped through the statutes creating the Virginia Research Investment Fund (VRIF) and the Virginia Research Investment Committee (VRIC) and assigning to Council the responsibility to establish the guidelines for proposals for VRIF funds and to administer the external-review process for VRIF proposals.

REPORT ON PROGRESS OF VIRGINIA RESEARCH INVESTMENT COMMITTEE

At the invitation of Dr. Murray, Dr. Edwards summarized the October and December meetings of VRIC. He reported that the first meeting was organizational in nature, with the citizen members being briefed on discussions the ex officio members had held informally in the summer. He indicated that discussion at the second VRIC meeting spanned three realms: (i) the statutory instructions (the “task at hand”); (ii) the alignment of the other state-funded research programs and grant competitions, and how the VRIF
fits among these opportunities; and (iii) the wider academic-research enterprise in the Commonwealth.

Mr. Blake, who as SCHEV director is assigned by statute as the chairman of the VRIC, stated that some VRIC members would prefer to begin by learning more about and discussing in more detail the wider research enterprise in the Commonwealth; they are hesitant to move forward with specifics, such as organizing principles or conceptual frameworks, until they have a wider understanding.

Mr. Blake said that, in the opinion of staff, the two tasks can be undertaken in parallel. He recommended that Council move forward with guidelines that have “plug and play” features, simultaneous with VRIC members’ expansion of their knowledge base and discussion of the scope of their purview.

**REVIEW OF PROPOSED ORGANIZING PRINCIPLES AND CONCEPTUAL FRAMEWORK**

Dr. Murray asked whether the items in the documents were more administrative in nature and asked Ms. Seuffert to review the documents. Within the proposed organizing principles, Ms. Seuffert noted some items that are more than simply administrative in nature – items that likely will require further deliberation by VRIC. She stated that the other items either were quoted directly from the statutes or were best practices of reputable grantmakers.

Mr. Blake commented that, according to the draft timeline prepared for the VRIC, no funding would be awarded until October 2017. He noted that the timeline designates the March 2017 Council meeting as the date for staff’s recommendation of Council approval of guidelines for the VRIF award competition(s). General discussion followed.

Mr. Ridenour asked for clarification of and greater details on Council’s duties in § 23.1-3133. Mr. Blake responded by reviewing the contents of the Code section, highlighting specifically the criteria required in the guidelines, and also noting the tie to the GO Virginia initiative, as well as to job creation and the spin-off of companies. He said that the work of the ad hoc committee would include prioritization of those criteria as the committee sets the guidelines and scoring.

Dr. Murray asked whether the budget appropriation language added anything else. Mr. Blake responded that the appropriation language mirrors closely the statutes.

Mr. Ridenour stated his belief that, in its development of the guidelines, the ad hoc committee should be focused on national leadership and the attraction and retention of talent. He expressed interest in understanding better how the other funding mechanisms, such as the equipment trust fund and other state-funded research grant programs, will work together.
Mr. Wilson noted that the statute requires that the criteria take into account the funding received by the proposed project from other state funds.

NEXT STEPS

Dr. Murray suggested that, at the January 10, 2017, Council meeting, the ad hoc committee receive additional information from staff on the matters above. He requested a visual aid depicting the alignment of, and/or gaps among the various state research-grant programs, and to include the dollar amounts of each program.

Mr. Ridenour suggested that the planned one-hour committee meeting in January would not be a sufficient amount of time and requested another work session, perhaps as much as a half day, be scheduled for February.

Dr. Murray offered his opinion that the VRIF grant competitions should be iterative or additive, not duplicative of other efforts. He said VRIF awards should be talent and faculty focused.

Dr. Murray concluded the discussion of next steps with an assurance that the ad hoc committee would begin in earnest its pursuit of the path forward at its meeting on January 10, 2017.

ADJOURNMENT

Dr. Murray requested a motion to adjourn. Mr. Ampy moved, and Mr. Ridenour seconded, such a motion. The meeting adjourned at 10:05 a.m.

___________________________________________
William Murray
Acting Chair, SCHEV Ad Hoc Committee on Research

___________________________________________
Lynn Seuffert
SCHEV Associate for Research Investment
State Council of Higher Education for Virginia
Agenda Item

Item: Ad Hoc Committee on Research #B3 – Review of Charge to the Committee

Date of Meeting: January 10, 2017

Presenter: Peter Blake
Director
peterblake@schev.edu

Most Recent Review/Action:
☑ No previous Council review/action
☐ Previous review/action
  Date:
  Action:

Background Information/Summary of Major Elements:
On December 5, 2016, Chairman Minor created an ad hoc committee to coordinate Council’s fulfillment of its new responsibilities in the administration of the Virginia Research Investment Fund (VRIF). Comprised of Ken Ampy, Gene Lockhart, Bill Murray and Minnis Ridenour, the committee held an organizational meeting on December 21, 2016, at SCHEV offices in Richmond.

In announcing the new committee, Director Blake provided an explanatory document entitled, “Establishing an ad hoc committee on research,” which contains Mr. Minor’s charge to the committee. Members reviewed the document at the committee’s organizational meeting on December 21, 2016; staff provides the document again here for members’ reference and to capture it as an official public record.

Materials Provided:

• “Establishing an ad hoc committee on research”

Financial Impact: None

Timetable for Further Review/Action: None

Resolution: None
Establishing an ad hoc committee on research
December 5, 2016

The bylaws of the Council stipulate that the chair may appoint standing or ad hoc committees as he or she deems appropriate to ensure the effective disposition of the Council’s work; the bylaws require the chair to specify the purpose and duration of any such committee.

Appointment of an ad hoc committee on research:

A. An ad hoc committee on research is hereby created. This committee shall work with staff to lead Council’s new statutory responsibilities related to the Virginia Research Investment Fund (VRIF), specifically the responsibilities assigned in § 23.1-3133 that Council establish guidelines, procedures, and objective criteria for the application for and award of grants and loans from the VRIF and that Council receive applications for these funds and administer a process whereby external reviewers evaluate the scientific merits of these applications prior to final review by the Virginia Research Investment Committee, which the SCHEV director chairs and SCHEV staff support; and

B. This committee is created with a sunset date of June 30, 2017, by which time the purpose described above will have been achieved.

C. The members of Council’s ad hoc committee on research shall be Ken Ampy, Gene Lockhart, William Murray, and Minnis Ridenour.

D. The Committee shall hold its initial meeting no later than January 12, 2017.
State Council of Higher Education for Virginia
Agenda Item

Item: Ad Hoc Committee on Research #B4 – Discussion of Continuum of Research and Innovation; Sources of Public Funding

Date of Meeting: January 10, 2017

Presenter: Lynn Seuffert
Associate for Research Investment
lynnseuffert@schev.edu

Most Recent Review/Action:
☑ No previous Council review/action
☐ Previous review/action
  Date: 
  Action: 

Background Information/Summary of Major Elements:
At the December 21, 2016, organizational meeting of the Ad Hoc Committee on Research, members expressed interest in learning more about the continuum of research, innovation and commercialization in Virginia higher education, as well as about the other sources of public funding to support these activities and the gaps among and/or overlaps of these other research-grant programs.

The enclosed documents represent staffs’ effort to fulfill members’ requests above within the time available for inclusion in these Agenda Book materials.

- The first document offers a summary overview of Virginia programs that support some combination of academic research, faculty/researcher recruitment, and/or the commercialization of research results.
- The second document provides a visual depiction of the continuum of research and innovation, with the existing Virginia grant programs differentiated along that continuum.
- The third document is a matrix of the existing programs’ purposes, foci and processes, in relation to the VRIF as established in statute.

The latter two documents are versions of materials prepared by staff for review and discussion by the Virginia Research Investment Committee (VRIC) at its October and December 2016 meetings. (Because SCHEV director Peter Blake serves as chair of the VRIC, SCHEV staff are lead support staff to him and that committee.)
Materials Provided:

- Virginia State Funded Research Programs
- Research and Innovation Continuum and Public Sources of Funding
- Virginia Matrix

Financial Impact: None

Timetable for Further Review/Action: None

Resolution: None
Virginia’s State-Funded Research Programs

COMMONWEALTH HEALTH RESEARCH FUND AND BOARD (CHRB)

Statutory Purpose: To support research efforts deemed to have the potential to maximize human health benefits for the citizens of the Commonwealth. Research efforts eligible for support by the Board may include traditional medical and biomedical research relating to the causes and cures of diseases, as well as research related to health services and the delivery of health care.

- Created: 1997
- Va. Code §23-278
- Source of Funds: Proceeds following Trigon's evolution from a non-profit organization
- Endowment: $35.8 million (June 30, 2015)
- Grant Activity
  - FY2014-2015: 6 new awards plus 5 continuations (year 2) = $1,017,500
  - FY2013-2014: 5 new awards plus 3 continuations (year 2) = $746,688
  - FY2012-2013: 6 new awards plus 2 continuations (year 2) = $799,746
  - Since its inception, the CHRB has made 168 grant awards totaling almost $13.3 million (as of June 30, 2015)

VIRGINIA BIOSCIENCES HEALTH RESEARCH CORPORATION (VBHRC)

Statutory Purpose: Not created by statute; 2013 Appropriation Item 105 states: The consortium will contract with private entities, foundations and other governmental sources to capture and perform research in the biosciences. Initial exclusive focus will be around the Virginia core strength areas of Bio-Informatics and Medical Informatics, Point of Care Diagnostics and Drug Discovery and Delivery.

- Created: 2013
- Va. Code: created by the Virginia General Assembly in 2013 in Chapter 806, Item 105:M
- Source of Funds: Appropriations and Universities
- Since inception, VBHRC has funded five rounds of competition, with a sixth to be announced shortly.
- Round five focused on neuroscience and winners were awarded a total of $687,000.
- As of Nov. 2015, VBHRC had awarded $6.7 million in grants.

Form 990 from Tax Year 2014
  - 12 voting members of governing body
  - Total assets: $3,047,983
  - Grant funds awarded: $2,118,927
  - Contributions received: $0 (prior year $5.5 million; Gov’t: $5 million; Other: $550,000)

CENTER FOR INNOVATIVE TECHNOLOGY (CIT) AND INNOVATION & ENTREPRENEURSHIP INVESTMENT AUTHORITY (IEIA)

Statutory Purpose: To (i) promote economic development by attracting and retaining high tech jobs; (ii) increase industry competitiveness by supporting innovative technologies; (iii) mobilize support for high tech industries to commercialize new products and processes; (iv) enhance and expand R&D capabilities of the institutions of higher education and coordinate such capabilities with the R&D activities and requirements of the public and private sectors, including transferring technological advances to the private sector; (v) expand knowledge pertaining to R&D among public and private entities; (vi) attract R&D facilities and contracts from the federal government and private sector; and (vii) facilitate and coordinate the marketing, organization, utilization and development of R&D.
The Commonwealth Research Commercialization Fund (CRCF) in FY2016 issued one solicitation for proposals for five programs: Commercialization, Eminent Researcher Recruitment, Matching Funds, SBIR Matching Funds, and STTR Matching Funds. As a result, $3.4 million was recommended for award in 48 projects. The $3.4 million in awards comprised of a $2.8 million FY2016 General Fund appropriation plus carryover monies from grants that had not been fully expended or had been declined.

Since the inception of the CRCF program in FY2012, 616 applications were submitted from all of the Commonwealth’s ten technology regions and, from these submissions, 2,322 awarded projects were announced. These announced awards total nearly $20 million.

Form 990 from Tax Year 2014
- 14 voting members of governing body
- Total assets: $5,721,624
- Grant funds awarded: $0 (see above for information)
- Contributions received: $5,747,960 (Gov’t: $ 5,746,460; Other: $1,500)
- Program service revenue includes $6,452,205 in “federal and state awards”

Programs
- CIT Broadband (rural and underserved areas)
- CIT Connect (consulting service)
- CIT GAP Funds (seed- and early-stage investment funds placing near-equity and equity investments in Virginia-based technology, life science, and cleantech companies)
- CIT Entrepreneur
- Commonwealth Support Programs (R&T Roadmap and Innovation Index)
- CIT R&D – Commonwealth Research Commercialization Fund (5 programs)
  - Private Sector: Commercialization, SBIR Matching Funds, STTR Matching Funds
  - Public & Non-Profit Sector: Matching Funds and Eminent Researcher Recruitment

VIRGINIA TOBACCO REGION REVITALIZATION COMMISSION (VTRRC)
Statutory Purpose: The Commission is established for the purposes of determining the appropriate recipients of moneys in the Tobacco Indemnification and Community Revitalization Fund and causing distribution of such moneys to: (i) provide payments to tobacco farmers as compensation for the adverse economic effects resulting from loss of investment in specialized tobacco equipment and barns and lost tobacco production opportunities associated with a decline in quota; and (ii) revitalize tobacco dependent communities.

- Created: 1998
- Va. Code §3.2-3100 et seq.
- Grant Awards: year ending June 30, 2015, the Commission’s 8 grant programs awarded 95 grants, totaling $71 million ($14 million of that for R&D)
- Assets: $500 million +
- Community revitalization: $75.5 million

R&D Grant Program funds applied research with significant commercialization potential, with accompanying job creation and private sector capital investment in the tobacco-dependent region.
Areas of Research Focus
- Energy (of primary interest to the Commission)
- Biomedical and Health Care
- Information Technology
- Chemical and Materials
- Environmental

VIRGINIA HIGHER EDUCATION EQUIPMENT TRUST FUND (HEETF)
Statutory Purpose: To provide funding to upgrade equipment needed for instruction and research at public institutions of higher education.

- Created: 1986
- Va. Code: Senate Bill 45, 1986 General Assembly
- Source of Funds: Bonds
- The $168 million allocation in the current budget cycle is the largest in the HEETF’s 30-year history.
- The current budget includes $30,950,000 allocated specifically for research ($15,950,000 in FY2017 and $15 million in FY2018).
- Since inception, including the current budget cycle, HEETF funding totals $1,476,789,456.
- Between FY2009 and FY2018, the separate allocation of HEETF for research has totaled $155,249,008. (Universities also spend some of the “traditional” HEETF allocation for research purposes.) Institutions eligible for the separate HEETF allocation for research equipment are CWM, GMU, ODU, UVa, VCU, VIMS, and VT.
- The State Council of Higher Education for Virginia (SCHEV) shares administrative responsibility for the program with the Virginia College Building Authority (VCBA) and the Department of Treasury.
- SCHEV recommends how the funds are to be distributed among institutions based on identified equipment needs. The Council’s recommendation is then communicated to the Governor and the General Assembly for consideration in the budget process. The General Assembly makes the final decision on dollar allocations.
- The sum of HEETF research-equipment funding to the University of Virginia and Virginia Tech generally has been about two-thirds of the total annual HEETF allocation for research.
- The institutions purchase equipment using their operating funds and are reimbursed by the Trust Fund.

Since 1996, the Trust Fund has been used to accomplish the following goals:
- Significantly reduce the amount of obsolete technology and equipment
- Provide every student with access to an appropriate level of information and technology
- Establish a statewide network that supports and encourages sharing and cooperation
- Provide every faculty member with appropriate equipment and training to use technology in support of teaching and learning
- Support faculty in the introduction of new ways of instruction and learning, provide courses customized to student needs, and take advantage of distance-learning opportunities
- Install high quality, easy-access, network-deliverable student support services, such as transcripts, grades, class scheduling, and account balance and payment information
- Install management information systems that are flexible and directly accessible to users to help support administrative restructuring and cost-containment
Research and Innovation Continuum and Public Funding Sources

Basic Research → Proof of Concept → Early Stage Development → Product Development → Production

Company Formation → Federal Funding – NIH, NSF, DOD, etc.

**CHRB**
- Federal SBIR/STTR Phase I (Post-Company Formation)
- CRCF Matching Funds Program
- CRCF Eminent Researcher Program
- CRCF Commercialization Program (Post-Company Formation)
- CRCF SBIR/STTR Matching Funds Program and CIT MACH 37 Accelerator (Post-Company Formation)

**CRCF Matching Funds Program**
- CRCF Eminent Researcher Program
- CRCF Commercialization Program (Post-Company Formation)
- CRCF SBIR/STTR Matching Funds Program and CIT MACH 37 Accelerator (Post-Company Formation)

**Fed SBIR/STTR Phase II (Post-Company Formation)**
- VRIF Applied Research & Development (Pre- or Post-Company Formation or Licensing)
- VBHRC (Post-Company Formation or Licensing)
- TRRC R&D / SBIR Phase II Companion Grants
- Angel Investment Tax Credits (Post-Company Formation)
- CIT Venture Capital Programs (Post-Company Formation)

**R&D Tax Credits & Exemptions (Post-Company Formation)**
- CIT GAP Funds (Post-Company Formation)

**Company Formation**
- VBHRC (Post-Company Formation or Licensing)
- VRIF Applied Research & Development (Pre- or Post-Company Formation or Licensing)
- Angel Investment Tax Credits (Post-Company Formation)
- CIT Venture Capital Programs (Post-Company Formation)

**Production $**
- Scale Up Mfg., Hire Employees, Sales & Distribution, etc.

* Feasibility, Invention, Component Validation in Simulation, Provisional Patent, Toxicity, In Vivo Efficacy, Market Study, etc.
+ IND or IDE Prep and Submission, Phase I Clinical Trial, Engineering Prototype, Strategic Business Plan, etc.
± Pre-Production Prototype, Commercial Prototype Validation in Real World, Phase II Clinical Trial, Phase III Clinical Trial, Market Validation, etc.
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<td><strong>Statutory Language</strong></td>
<td>To provide financial support for research efforts that have the potential of maximizing human health benefits for the citizens of the Commonwealth.</td>
<td>To contract with private entities, foundations and other governmental sources to capture and perform research in the biosciences.</td>
<td>To (i) provide payments to tobacco farmers as compensation for the adverse economic effects resulting from loss of investment in specialized tobacco equipment and barns and lost tobacco production opportunities associated with a decline in quota; and (ii) revitalize tobacco dependent communities.</td>
<td>To (i) promote R&amp;D excellence; (ii) foster innovative and collaborative research and commercialization efforts in projects with a high potential for economic development and job creation; (iii) position the Commonwealth as a national leader; (iv) recruit and retain eminent researchers; and (v) encourage cooperation and collaboration to foster economic development and job creation.</td>
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<td><strong>Focus Area</strong></td>
<td>Traditional medical and biomedical research relating to the causes and cures of diseases as well as research related to health services and the delivery of health care.</td>
<td>Translational research and commercialization in the neurosciences, cardio/metabolic diseases, infectious diseases and cancer; includes bioinformatics and medical informatics; point-of-care diagnostics; and drug discovery and delivery.</td>
<td>Energy (of primary interest to the Commission) Biomedical and Health Care Information Technology Chemical and Materials Environmental</td>
<td>Those in the Commonwealth Research and Technology Strategic Roadmap, including but not limited to the biosciences, personalized medicine, cybersecurity, data analytics, and other areas designated in the general appropriation act.</td>
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<td><strong>Awards</strong></td>
<td>One-year project: Max = $100K; 2-yr project: Max = $200K w/no more than $100K in either year. No min/max projects/year.</td>
<td>In most-recent round of grantmaking, one-to-three awards in the range of $200K-$800K (annual grant, up to 18-month timeframe).</td>
<td>R&amp;D Grants: Minimum is $250,000 and the maximum is $2 million per applicant, per award. SBIR-funded projects: $50,000 - $500,000 range</td>
<td>TBD</td>
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<td><strong>Matching Funds</strong></td>
<td>Up to $100,000</td>
<td>Up to $250,000</td>
<td>Up to $50,000</td>
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<tr>
<td>Matching Funds</td>
<td>Eminent Researcher Recruitment</td>
<td>Commercialization (private sector)</td>
<td>Dollar-for-dollar from non-Commission funds.</td>
<td>Any award from the Fund shall require a match of funds at least equal to the amount of the award.</td>
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<td>Matching Funds 33% matching funds, calculated against the amount of CHRB funding requested.</td>
<td>Awards must be matched at least dollar-for-dollar by funding from private entities, foundations &amp; other gov’t sources.</td>
<td>One to one match required at least one-to-one, with 50% coming from the applicant institution and 50% coming from the private sector partner</td>
<td>One to one match required.</td>
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<td><strong>Criteria for Selection</strong></td>
<td>Requires: at least two participating institutions; research must hold potential for high impact near-term success in generating sponsored research, creating spin-off companies or creating new jobs.</td>
<td>The research topic has been vetted by a scientific advisory board.</td>
<td>Application will: • engage in applied research that is post proof-of-concept • invent and/or improve products, processes, or services that originate and remain in the Region (highest priority), or whose value is substantially increased in the Region (lower priority) • pursue commercialization within 36 months</td>
<td>Criteria include the potential of the project to: • culminate in the commercialization of research; • culminate in the formation or spin-off of companies; • promote the build-out of scientific areas of expertise; • promote applied R&amp;D; • provide modern facilities or infrastructure for R&amp;D; • result in significant capital investment and job creation; or • promote collaboration among the public institutions of higher education.</td>
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- **Criteria for Selection**
  - Requires: at least two participating institutions; research must hold potential for high impact near-term success in generating sponsored research, creating spin-off companies or creating new jobs.
  - The research topic has been vetted by a scientific advisory board.

- **Criteria include: a strategic plan that identifies: (i) how the project fits into the Roadmap, (ii) other funds from other sources, (iii) the potential for commercialization, and (iv) opportunities for public & private collaboration.**

- **Criteria include: • Economic and technical benefits • Qualifications of the researcher • Quality of the program • Strength and quality of work the researcher will accomplish • Likelihood of research superiority • Reasonableness of costs • Evaluation plan • Leverage of other funds • Demonstration of public / private collaboration.**

- **Criteria include: • Technical merit and feasibility, including well-defined milestones • Economic and technical benefits • Time to commercialization • Qualifications of team • Reasonableness of costs • Evaluation plan • Third-party equity holders • Demonstration of public / private collaboration.**

- **Application will: • engage in applied research that is post proof-of-concept • invent and/or improve products, processes, or services that originate and remain in the Region (highest priority), or whose value is substantially increased in the Region (lower priority) • pursue commercialization within 36 months.**
State Council of Higher Education for Virginia
Agenda Item

Item: Ad Hoc Committee on Research #B5 – Introduction of Organizing Principles for Award Competitions for the Virginia Research Investment Fund

Date of Meeting: January 10, 2017

Presenter: Lynn Seuffert
Associate for Research Investment
lynnseuffert@schev.edu

Most Recent Review/Action:
☑ No previous Council review/action
☐ Previous review/action
Date: December 21, 2016
Action: Initial review of draft organizing principles proposed by staff

Background Information/Summary of Major Elements:
Enacted on July 1, 2016, § 32.1-3133 of the Code of Virginia requires the Council, in consultation with the Virginia Research Investment Committee (VRIC), to establish “guidelines, procedures and objective criteria for the application for and award of grants and loans” from the Virginia Research Investment Fund (VRIF). To assist the Council in fulfillment of this responsibility as well as Mr. Blake in his role as VRIC chair, Ms. Lynn Seuffert was hired in October 2016 to work in the Policy Studies section as SCHEV Associate for Research Investment.

Since joining SCHEV, Ms. Seuffert has reviewed relevant state-level research-grant programs in the Commonwealth and elsewhere, has consulted with the administrators of these programs and has received feedback on ideas and draft documents from members of the VRIC, public institutions’ chief research officers and SCHEV’s Instructional Programs Advisory Committee.

One result of these efforts was a set of potential organizing principles intended to begin to outline some basic parameters for VRIF award competitions. These proposed principles were shared with the ad hoc committee on December 21, 2016, for its information and discussion. The VRIC was expected to discuss potential organizing principles at its meeting on January 9, 2017.

The enclosed document is a revised version of the one discussed at the December meeting of the ad hoc committee. Staff may opt to present for discussion on January 10 a different version of the organizing-principles document, if warranted by discussion of VRIC members on January 9.
Materials Provided:

- “Proposed Organizing Principles for VRIF Proposals”

Financial Impact: None

Timetable for Further Review/Action: None

Resolution: None
Proposed Organizing Principles for VRIF Proposals

(Eminent Researcher Recruitment/Retention and Applied Research Proposals)

SCHEV staff proposes the principles below to guide and organize the mechanics of the VRIF grant competition. The parameters for the kinds of projects to be supported will be included in a future proposal of conceptual frameworks.

1. Only public institutions of higher education in Virginia will be eligible as lead applicants.

2. The grant competition will be “standard” (rather than pitch-style, prize, etc.).

3. The grant competition will be “limited submission”: i.e., each institution can submit two proposals as lead institution and participate as a partner in unlimited additional applications.

4. Round 1 will be a single grant cycle with separate instructions and formats for applied R&D proposals and eminent researcher recruitment/retention proposals. If VRIC determines under #6 below that they will accept proposals requesting the maximum amount appropriated by the General Assembly, SCHEV staff will determine whether additional format and/or score/weighting guidelines will be required to ensure VRIC receives actionable information about, and SCHEV staff and peer review panel(s) can conduct appropriate due diligence for, the large-scale projects.

5. No stand-alone competition will be offered for equipment; all equipment requests will be made in the context of an applied research proposal or an eminent researcher recruitment/retention proposal.

6. Maximum award amounts are under consideration by VRIC (best practices for transparency in grantmaking hold that applicants be provided information regarding either the maximum amounts or the anticipated dollar range of average awards; for VRIF, that includes guidance for requests for both general funds and bond funds).

7. In Round 1, VRIC is considering whether to indicate an estimated number of awards anticipated for applied R&D grants vs. eminent researcher recruitment/retention grants. VRIC has apparently reached consensus that, assuming the peer review process results in a recommendation, at least one award will fund R&D; they are still apparently divided whether any awards will fund eminent researcher recruitment/retention.

8. Any funds remaining after Round 1 award(s) are made will be rolled forward to Round 2.

9. As a lead applicant, an institution will be allowed to submit any combination of proposals, such as one applied R&D proposal and one recruitment/retention proposal, or two applied R&D proposals, or two recruitment/retention proposals. However, as lead applicant, an institution will be awarded a maximum of one grant in each category.

10. Grant periods may be proposed ranging from one year to five years.

11. A 1:1 match of the award amount will be required. [Details under development.]

12. All funds (VRIF, bonds, and matching) will be required to be expended by the end of the grant period.

13. The possibility will exist that an institution will be awarded no grant funds.
Dr. Ramsey (Chair) called the meeting to order at 4:08 p.m. at the Virginia Economic Development Partnership in Richmond, Virginia. Committee members present: Heywood Fralin, Gil Minor, Carlyle Ramsey, and Katherine Webb.

Staff members present: Beverly Covington, Joe DeFilippo, Jodi Fisler, and Sylvia Rosa-Casanova.

**APPROVAL OF MINUTES**

On motion by Mr. Minor, the minutes from the September 19, 2016, meeting were approved unanimously.

**ACTION ON GUIDELINES FOR STUDY ABROAD**

Dr. DeFilippo introduced the legislative background of the action item and described the process by which the agency analyzed the issues related to study abroad in order to develop the guidelines. The prologue of the document sets forth the importance of study abroad, but also attempts to limit liability for the institutions by recognizing the varying degrees of responsibility for programs. It describes kinds of programs for which institutions have responsibility as opposed to those for which they do not, such as when a student requests credit after the fact.

The committee had a discussion about the effectiveness of study abroad programs and their impact on future employment of graduates.

On motion by Ms. Webb, and seconded by Mr. Minor, the following resolution was approved unanimously to be forwarded to the full Council:

**BE IT RESOLVED that the State Council of Higher Education for Virginia (SCHEV) approves the attached Virginia Public Higher Education Guidelines for Study Abroad. The Guidelines shall become effective immediately.**

**ACTION ON PRIVATE AND OUT-OF-STATE POST-SECONDARY INSTITUTION CERTIFICATIONS**

Dr. DeFilippo introduced the action item, reviewing facts relevant to examining the eligibility of the institution for certification
On motion by Mr. Minor, and seconded by Ms. Webb, the following resolution was approved unanimously to be forwarded to the full Council:

    BE IT RESOLVED that the State Council of Higher Education for Virginia certifies Worcester Polytechnic Institute to operate a degree-granting postsecondary institution in the Commonwealth of Virginia, effective October 25, 2016.

LIAISON REPORT

Dr. DeFilippo informed members the report is available on page 55 of the agenda book. He reviewed various staff activities highlighted by the report.

ADJOURNMENT

Dr. Ramsey adjourned the meeting at 4:40 p.m.

__________________________________  
Carlyle Ramsey  
Chair, Academic Affairs Committee

__________________________________  
Beverly Covington  
Staff, Academic Affairs
State Council of Higher Education for Virginia
Agenda Item

Item: Academic Affairs Committee #C3 – Action on Programs at Public Institutions
Date of Meeting: January 10, 2017

Presenter: Dr. Joseph G. DeFilippo
Director of Academic Affairs & Planning
JoeDeFilippo@schev.edu

Most Recent Review/Action:
☒ No previous Council review/action
☐ Previous review/action
  Date: 
  Action: 

Background Information/Summary of Major Elements:

- Longwood University, Bachelor of Science (BS) degree program in Health and Physical Education (CIP: 13.1314)

Financial Impact: The proposed program will be funded primarily through reallocations within the Department of Health, Athletic Training, Recreation and Kinesiology with support from the institution. Longwood affirms that the institution will not seek additional state resources to initiate and sustain the program.

Timetable for Further Review/Action: N/A

Resolution:

BE IT RESOLVED that the State Council of Higher Education for Virginia grants approval to Longwood University to initiate a Bachelor of Science (B.S.) degree program in Health and Physical Education (CIP: 13.1314), effective fall 2017.
Program Description
Longwood University (Longwood) is proposing the creation of a Bachelor of Science (BS) degree program in Health and Physical Education to be initiated fall 2017. Designed to prepare professionals to serve as health and physical education teachers and health educators, the proposed program would provide a comprehensive approach to the study of physical education, human movement, and health and its practical application in school and community settings. Students would be required to complete coursework in health and wellness, nutrition, motor development, functional biomechanics, assessment in health and physical education, technology in health and physical education, and human anatomy and physiology.

Two concentrations would be offered: 1) PK-12 Licensure and 2) Health Promotion. Students selecting the concentration in PK-12 Licensure will be required to complete 500 clock hours of student teaching. Students will work in school-based sites and gain experience working with elementary, middle, or high school students. Students selecting the concentration in Health Promotion will be required to complete 400 clock hours of experiential learning in a variety of sites and gain experience working in non-school settings such as city/county health agencies, hospitals, clinics, and corporations. Graduates would possess the skills needed to: 1) plan appropriate activities to promote content learning among students or clients; 2) develop and evaluate curriculum and program materials; 3) adapt information and delivery of information to students or clients with diverse needs and backgrounds; and 4) assess and evaluate the needs of students or clients. To meet the curriculum requirements, Longwood developed nine new courses. Five courses are core courses and four courses are concentration courses.

The proposed BS in Health and Physical Education, PK-12 Licensure concentration must be approved by the Virginia Department of Education (VDOE) as a teacher preparation program. The curriculum for the proposed concentration has been designed to meet the regulations set forth by VDOE.

The BS in Health and Physical Education would require 120 to 121 credit hours for graduation: 41 credit hours of major coursework; 38 credit hours of general education coursework; seven credit hours for additional coursework in science, sociology, or psychology; and 34-35 credit hours in a concentration area. Students selecting the concentration in PK-12 Licensure would be required to complete: 24 credit hours of health and health and physical education coursework; and 11 credit hours for student teaching. Students selecting the concentration in health promotion would be required to complete: 23 credits of coursework in health, public relations, research and planning, and grant writing; and 11 credits of internship. Longwood has indicated that the additional one credit hour required for students who pursue the PK-12 Licensure concentration will not affect students’ progress to timely graduation.
Justification for the Proposed Program

Longwood has offered the BS in Kinesiology, Physical and Health Teacher Education with a PK-12 concentration since 2004. Although students enrolled in the degree program complete the PK-12 concentration, graduates receive a BS in Kinesiology. Longwood asserts that the expansion of the concentration is needed “to eliminate the curricular restraints of a concentration, … allow for a core curriculum focused on health and physical education, … [and] allow students to earn a degree with a title that accurately reflects the coursework.” In 2015, the US Department of Health and Human Services published a call to action to promote walking and walking communities. The call to action stressed that public schools “can provide opportunities for physical activity through physical education, recess, [and] after-school activity.” Further, “colleges and universities can also educate and train future professionals to recognize their role in promoting walking and walkable communities for people of all ages” (http://www.surgeongeneral.gov/library/calls/walking-and-walkable-communities/call-to-action-walking-and-walkable-communities.pdf). The American Medical Association (AMA) supports adequate physical activity for children. In a 2014 policy statement specific to childhood obesity, the AMA asserted support for “meaningful and mandatory physical education programs for all children, including the handicapped, in K-12 schools, conducted by qualified personnel; at least 30 minutes of daily free play or physical education in elementary school; and family-oriented education about the benefits of physical activity” (http://www.ama-assn.org/ama/pub/physician-resources/public-health/promoting-healthy-lifestyles/obesity/childhood-obesity/childhood-obesity-policy.page?). Longwood affirms that the proposed program will address the needs of schools and communities for trained professionals prepared to provide education and training “to people of all ages and abilities.”

The Virginia Department of Education reports annually the critical teaching shortage areas for the Commonwealth. Data show that for the past seven years including this year, Health and Physical Education PreK-12 has been reported as a critical shortage teaching endorsement area (http://www.doe.virginia.gov/teaching/workforce_data/shortage_areas/2016-2017.pdf). Thus, the proposed program would address Virginia’s need for health and physical education teachers.

Student Demand

Student enrollment in the BS in Kinesiology, Health and Physical Education concentration indicates student demand. In fall 2013, 51 were enrolled; in fall 2014, 46 students were enrolled; and in fall 2015, 49 students were enrolled in the concentration.

In spring 2016, Longwood sent an online survey to high school students in Virginia. Student email addresses were drawn from the Office of Admissions database of students who had expressed an interest in Longwood. Of the 132 respondents, 65 were rising seniors. Students were asked of their interest in majoring in Health and Physical Education. Of the 65 respondents, six (approximately 9%) indicated “strongly agree” and 12 (approximately 18%) indicated “agree.” When asked of their
interest in attending Longwood University and majoring in health and physical education, five (approximately 8%) indicated “strongly agree” and 17 (approximately 26%) indicated “agree.”

Enrollment projections show a full-time equated student enrollment (FTES) of 25.0 in the program’s first year (2017-18). The projections continue as follows: FTES 2018-19, 45.0; 2019-20, 65.0; and 2020-21, 86.0. Longwood anticipates 20 graduates each year beginning in 2021-22. If these enrollment and graduation projections are met, this program will meet Council's productivity/viability standards within five years, as required.

**Market/Employer Demand**
Longwood notes that graduates of the BS in Health and Physical Education will be qualified to teach health and physical education in the K-12 school setting or to work as health educators in a variety of non-school settings such as city/county health agencies, hospitals, clinics, and corporate wellness centers. In Virginia and nationally, employment advertisements indicate a need for bachelor-level personnel to fill positions as physical education and health teachers and health promotion coordinators. The Bureau of Labor Statistics (BLS) and the Virginia Employment Commission (VEC) do not have a job category for physical education teachers. However, the BLS projects that between 2014 and 2024 employment of kindergarten and elementary school teachers, middle school teachers, and high school teachers is expected to grow 6% or “about as fast as average” (http://www.bls.gov/ooh/education-training-and-library/kindergarten-and-elementary-school-teachers.htm; http://www.bls.gov/ooh/education-training-and-library/middle-school-teachers.htm; and http://www.bls.gov/ooh/education-training-and-library/high-school-teachers.htm). The BLS notes that “overall growth is expected to be impacted by larger class sizes and enrollment rate. Employment growth will vary by region.” The VEC projects that between 2014 and 2024 employment of kindergarten and elementary school teachers is expected to increase 11.36% or 1.08% annually; employment of middle school teachers is expected to increase 11.42% or 1.09% annually; employment of high school teachers is expected to increase 11.39% or 1.08% annually (available at http://www.vawc.virginia.gov/analyzer/default.asp).

The BLS projects that between 2014 and 2024 employment of health educators and community health workers is expected to grow 12% (http://www.bls.gov/ooh/Community-and-Social-Service/Health-educators.htm#tab-4). The BLS notes that growth will be driven by efforts to improve health outcomes and to reduce healthcare costs by teaching healthy behaviors and explaining how to use available healthcare services. The VEC projects that between 2014 and 2024 employment of health educators is expected to increase 14.11% or 1.33% annually (available at http://www.vawc.virginia.gov/analyzer/default.asp).

**Issues of Duplication**
Four public institutions in Virginia (GMU, ODU, Radford, and VSU) offer similar or related degree programs. All of the programs offer a track that leads to teacher
licensure in health and physical education. The curriculum requirements are similar in that the curriculum for the PK-12 Licensure must meet regulations and standards established by the Virginia Department of Education (VDOE). All programs must include professional content courses, skill development courses, and teaching methods courses.

GMU offers a Bachelor of Science in Education (BSED) in Health and Physical Education. GMU’s program does not include a core curriculum; the major is 82 credit hours which all students are required to complete. All of the courses required for GMU’s program are the same courses required for Longwood’s proposed program. GMU’s program differs in that if does not offer a concentration in health promotion.

ODU offers a Bachelor of Science (BS) in Physical Education. ODU’s program is similar to Longwood’s proposed program in that it requires core coursework in anatomy and anatomical kinesiology. However, ODU’s program requires seven credit hours of core coursework and the proposed program will require 41 credit hours of coursework. ODU’s program also differs in that it does not offer a concentration in health promotion.

Radford offers a Bachelor of Science (BS) in Exercise, Sport and Health Education. Radford’s program is similar to Longwood’s proposed program in that it requires core coursework in anatomy, physiology, drug behavior, and nutrition. However, Radford’s program requires 17 credit hours of core coursework and the proposed program will require 41 credit hours of coursework. Radford’s program differs in that the core program requires coursework in human sexuality. Radford’s program is also similar to the proposed program in that sub areas (concentrations) in PK-12 teacher licensure and health promotion are offered.

VSU offers a Bachelor of Science (BS) in Health and Physical Education. VSU’s program is similar to Longwood’s proposed program in that core coursework in anatomy and physiology, assessment, adapted physical education, and exercise physiology. However, VSU’s program requires 32 credit hours of core coursework and the proposed program will require 41 credit hours of coursework. VSU’s program differs in that courses related to drug use and drug education, nutrition, motor development, and biomechanics are not required core courses. VSU’s program is also similar to the proposed program in that sub areas (minors) in PK-12 teacher licensure and health promotion are offered.

Longwood notes that the proposed program will serve students residing in Southside Virginia. Longwood is the “only institution of higher education in the area to offer a degree program in health and physical education.”

**Resource Needs**
The proposed program will be funded primarily through reallocations within the Department of Health, Athletic Training, Recreation and Kinesiology with support from the institution. Resources from the existing BS in Kinesiology, Health and Physical Education concentration will be utilized to support the program. Resources to support
the cost of adjunct faculty will be provided by the institution. The reallocation of resources including faculty time to the program will not negatively affect existing programs in the department or the institution. Longwood affirms that the institution will not seek additional state resources to initiate and sustain the program.

**Board Approval**
The Longwood Board of Visitors approved the proposed program on September 9, 2016.

**Staff Recommendation**
Based on a thorough review of the application, staff recommends that the Academic Affairs Committee adopt the following resolution and transmit it to Council:

**BE IT RESOLVED** that the State Council of Higher Education for Virginia grants approval to Longwood University to initiate a Bachelor of Science (B.S.) degree program in Health and Physical Education (CIP: 13.1314), effective fall 2017.
State Council of Higher Education for Virginia

Agenda Item

Item: Academic Affairs Committee #C4 – Virginia Plan Updates and Discussion

Date of Meeting: January 10, 2017

Presenters: Dr. Joseph G. DeFilippo
Director of Academic Affairs & Planning
JoeDeFilippo@schev.edu

Dr. Jodi Fisler
Associate for Assessment Policy and Analysis
JodiFisler@schev.edu

Ms. Wendy Kang
Director of Higher Education Innovation
WendyKang@schev.edu

Mr. Tod Massa
Director of Policy Research and Data Warehousing
TodMassa@schev.edu

Most Recent Review/Action:
☑ No previous Council review/action

Previous review/action

Date:

Action:

Background Information/Summary of Major Elements: At its September 2015 meeting Council approved six priority initiatives in support of goals of the *The Virginia Plan*. Staff will provide information and receive feedback from the Academic Affairs Committee on three topics related to initiatives 1 and 4:

A. Task Force on Quality and Assessment. Initiative 4 seeks to: “collaborate with institutions to measure the quality of undergraduate education, including civic engagement of graduates and relevance to demand occupations across regions of the state.” In spring 2016, SCHEV created a Task Force on Quality and Assessment to advise on the assessment of quality in undergraduate education. The Task Force’s ultimate purpose is to propose a new student learning assessment policy for Virginia (see the Task Force’s charge document, appended). The Task Force has met four times through the summer and fall and the main components of a draft policy have been developed as a result of its deliberations. The Task Force is expected to
complete its work before the end of the 2016-17 academic year, at which point staff will present a new assessment policy for Council’s consideration. Dr. Fisler will provide information on the Task Force’s deliberations and the current state of its recommendations.

B. Selective Review of SCHEV’s Data Assets. To support Council’s data-based deliberations about goals related to The Virginia Plan, including—but not limited to—measures of post-graduate outcomes, Mr. Massa will review SCHEV’s web-based data assets at http://research.schev.edu. Particular emphasis will be placed on the Economic Opportunity Metrics (EOM) Scorecard (http://research.schev.edu/eom/scorecard.asp).

C. Committee Discussion of a Coordinated Approach to PK-20 Alignment. Initiative 1 seeks to: “coordinate the development and implementation of programs that align resources from PK-12, colleges, universities and other public sources to ensure affordable, efficient and effective pathways for students in all parts of the state.” At its December 1, 2016 meeting, Council discussed activities that have been pursued in support of Initiative 1 and identified a need to establish greater alignment of Virginia educational efforts throughout the PK-20 pipeline. The Academic Affairs Committee will advise staff on priorities and development an action agenda for this work in 2017. See the appended document “Proposed Initiative #1 Strategies and Activities for 2017.”

Materials Provided:

- Charge document: Virginia Task Force on Quality and Assessment
- Proposed Initiative #1 Strategies and Activities for 2017

Financial Impact: N/A

Timetable for Further Review/Action:

- The Task Force is expected to finalize its recommendations before the end of the 2016-17 academic year.
- Initiative 1 activities will be reported on according to a timeline developed by the Academic Affairs Committee.

Resolution: N/A
Code of Virginia, § 23-9.6:1(10) states that the State Council of Higher Education for Virginia (SCHEV) shall:

Develop in cooperation with institutions of higher education guidelines for the assessment of student achievement. An institution shall use an approved program that complies with the guidelines of the Council and is consistent with the institution's mission and educational objectives in the development of such assessment.

In October 2014 Council approved The Virginia Plan for Higher Education, laying out a number of goals and strategies in support of the Council’s primary objective of establishing Virginia as the best educated state in the nation by 2030. One of these strategies, articulated under Goal #2 (Optimize Student Success for Work and Life), calls on Virginia institutions to “strengthen curricular options to ensure that graduates are prepared with the competencies necessary for employment and civic engagement.” The Council also placed renewed emphasis on measuring the quality of undergraduate education, rather than on performance metrics such as persistence and completion rates. This emphasis is reflected in the plan’s Priority Initiatives for 2016, which call on SCHEV to “collaborate with institutions to measure the quality of undergraduate education, including civic engagement of graduates and relevance to demand occupations across regions of the state” (Priority Initiative #4).

The Virginia Task Force on Quality and Assessment is hereby formed to advance the Council’s strategic priorities while upholding SCHEV’s responsibility to develop assessment guidelines in consultation with institutions. The Task Force will help review and redesign SCHEV’s policy on assessment, and advise generally on how best to promote quality in undergraduate education in the Commonwealth.

In completing its work, the Task Force will be guided by the following principles:

- Student learning is the core mission of higher education.

- A high-quality education is not only about access and completion. Rather, it must promote intellectual growth in ways that equip students to succeed in work and in life. (See the appended “Aspirational Statement”).

- The skills and preparation of incoming students vary greatly, as do institutional missions. Consequently, assessment should focus on outcomes, student progress, and academic program improvement rather than on single or common standards for all students and/or institutions.

- Students attending Virginia institutions may have different needs based on their personal histories, identities, and life circumstances. It is essential that institutions pay attention to educational quality as it pertains to various subgroups to ensure all students—regardless of their background or circumstances—receive a high-quality education.
• Quality enhancement is a shared responsibility. True improvement can occur only when institutional staff and stakeholders go beyond compliance and engage genuinely in the process of quality enhancement.

**Charge to the Task Force**

• Review the core areas delineated in the current assessment policy and recommend modifications to better reflect the intellectual and practical competencies, habits of mind, and qualities of character needed by Virginia graduates to succeed in the 21st century. A particular point of focus should be on knowledge and skills related to civic engagement, as set forth in Goal #2 and Initiative #4 of *The Virginia Plan*.

• Consider guidance and initiatives currently underway through national professional networks, such as AAC&U and NILOA (e.g., Multi-State Collaborative, LEAP initiatives, VALUE rubrics, Excellence in Assessment Designation). The Task Force should consider how institutional participation in these national and/or regional initiatives may intersect with, and possibly satisfy, elements of the policy.

• Make recommendations—in accordance with the principles outlined above—regarding a comprehensive and sustainable assessment process that will meaningfully measure the quality of undergraduate education. This process may include (but not necessarily be limited to) learning outcomes, assessment frameworks, tools, timelines, structures, and reporting and use of results.

• Make recommendations regarding the proper mode of publicizing results of institutional assessments. These recommendations should balance the acknowledged differences among institutions with the public’s right to accurate and useful information about academic programs at public institutions of higher education.

• Make recommendations regarding feasible and methods of assessing the effectiveness of education at Virginia’s institutions in preparing students for post-graduate work and life.

• Consider and recommend other steps SCHEV might take, beyond the assessment policy, to foster deep engagement around the concept of quality.

• Produce a final report, including recommended guidelines for implementation, by April 30, 2017. Any recommendations arising from the Task Force shall be subject to review by the Instructional Programs Advisory Committee (IPAC) prior to Council consideration for adoption.

**Task Force Membership**

• Each four-year institution and Richard Bland College may nominate a member.

• VCCS and community colleges may nominate up to four representatives, to be named by the VCCS Vice Chancellor for Academic Services and Research.

• The Director of SCHEV may appoint such other members as he deems helpful to the Task Force’s work.
APPENDIX: Aspirational Statement
(Developed by the planning committee for the June 2015 SCHEV-sponsored Summit on Quality & Value)

High-Quality Learning in the 21st Century
A high-quality college education must promote student intellectual growth in ways that equip them to succeed in work and life. Higher education seeks to impart learning that is broadly relevant, intellectual skills that are rigorous and widely adaptable, and dispositions and knowledge that contribute to a productive role in one’s personal and social relations. To that end, a college education in Virginia—regardless of major or specialized field of study—should emphasize

- **BROAD LEARNING** about science, society, technology, human diversity, and global cultures and interdependence;
- **INTELLECTUAL SKILLS** that support evidence-based reasoning and innovation—including analysis, communication, critical and creative thinking, quantitative fluency, information literacy, and collaborative problem solving;
- **INTEGRATIVE AND ADAPTIVE LEARNING**, including the demonstrated ability to apply knowledge, skills, and responsibilities to complex problems and new settings;
- **PERSONAL AND SOCIAL RESPONSIBILITY**, including ethical reasoning, civic and democratic knowledge and engagement, global acumen, and the capacity to work productively with diverse people and perspectives.

Our Shared Commitments (Institutions, Government, Business)
We pledge to:

1) Prioritize and advance the dual mission for higher education to prepare students both for successful careers and for civic responsibility—providing them with the knowledge and skills required in a great democracy and as responsible employees;
2) Ensure that all college students—whatever their chosen field of study or ambitions—have access to educational experiences that lead to achievement of the broad learning and intellectual skills they need for success;
3) Highlight, support, and expand 21st century designs for high-quality, hands-on learning, including senior projects, undergraduate research, internships, global and community-based projects and experiences, and other experiential learning programs;
4) Document how the demands of the 21st century global workplace shape and affect the learning outcomes students need to achieve, with particular attention to integrating and applying learning to complex problems and projects.
5) Foster collaboration—among higher education institutions, business, and government—to ensure that resources are available to students and institutions and that educational programs are effective at meeting the needs of society
Proposed Initiative #1 Strategies and Activities for 2017

Initiative #1 seeks to: coordinate the development and implementation of programs that align resources from PK-12, colleges, universities and other public sources to ensure affordable, efficient and effective pathways for students in all parts of the state.

1. Higher Ed. Partnership Building with PK-12
   - Establish a College Access Advisory Group made up of representatives of access provider organizations associations, universities and colleges, and PK-12.
   - Identify gaps in college access support and develop strategies to improve access across the state.
   - Develop and enhance PK-12 and higher education partnerships in eight areas of the state using the Fund for Excellence and Innovation supported SCHEV grantees.
   - Build communities of practice (networks) across the education system related to access, student success and completion.

2. Outreach and Communications
   - Identify and implement ways to better promote affordable pathways opportunities to students.
   - Present activities and practices at conferences to provide greater information sharing.
   - Coordinate a communications series focused on promising practices related to college completion and access for the higher education community.

3. Direct Outreach/Services
   - Increase the number of juniors and seniors at low-income high schools (currently estimated at over 15,000) preparing, applying and going to college through the SCHEV 1-2-3 Go! to College initiative.
   - Increase college preparation activities for over 4,000 9th-10th grade students through the administration of the GEAR UP Virginia (GUV) federal grant program.

4. Higher Education Academic Policies/Processes
   - Complete implementation of Council-approved (July 2016) State Policy on State College Transfer through collaboration with the State Committee on Transfer.
   - Complete implementation of the Virginia Public Higher Education Policy on Course Credit for AP, Cambridge, CLEP, and IB.
   - Dual Enrollment Quality Work Group: submit final recommendations to Secretary of Education by March 2017. (Recommendations to include: quality assurance, transferability, and communications.)
   - Explore holding an English “pathways” summit in 2017-18.
State Council of Higher Education for Virginia
Agenda Item

Item: Academic Affairs Committee #C5 – Report of the Staff Liaison to the Committee

Date of Meeting: January 10, 2017

Presenter: Dr. Joseph G. DeFilippo
Director of Academic Affairs & Planning
JoeDeFilippo@schev.edu

Most Recent Review/Action:
☒ No previous Council review/action
☐ Previous review/action
  Date:
  Action:

Background Information/Summary of Major Elements: N/A

Materials Provided:

- “Report of the Staff Liaison to the Academic Affairs Committee,” by Dr. Joseph G. DeFilippo.

Financial Impact: N/A

Timetable for Further Review/Action: N/A

Resolution: N/A
Recognition Status of American Council of Independent Colleges and Schools (ACICS), as of 12/21/16

- Secretary of Education King ruled against ACICS on 12/12/2016. This means that ACICS is no longer an agency recognized by the US Department of Education for Title IV purposes. The US Department of Education (USED) has begun the transition to change the participation agreements of all ACICS institutions whereby they will remain eligible for Title IV for 18 months to allow time for them to achieve accreditation with another agency recognized by USED. ACICS filed a complaint and motion for a temporary restraining order in US District Court; however, the motion was denied on December 20th and as a result the revocation of ACICS’ recognition will stay in effect as the substantive matter proceeds through the court system. SCHEV staff is preparing to confer state authorization for affected Virginia institutions for an eighteen month period, as per Council’s September action.

ITT Tech Closure and Student Records

- Virginia was informed earlier this month that transcripts dated prior to 2001 will not be available to students or states until the bankruptcy proceeding is concluded, which may still be a year or more. Transcripts post-2001 are available to students via Parchment for a fee. SCHEV may not receive copies of those but they will be available to students. Once the bankruptcy has been settled, all pre 2001 student records (in paper form) will be released to SCHEV, at which point staff will take steps to digitize and/or store those records with the Library of Virginia.

State Authorization Reciprocity Agreement

- On November 10, 2016, SCHEV hosted its 2nd Annual Virginia-SARA meeting at the Hilton Downtown Richmond. Featured speakers were: Dr. Marshall Hill, Executive Director of the National Council for State Authorization Reciprocity Agreements (NC-SARA), Mr. Russ Poulin, Director of Policy and Analysis, WICHE Cooperative for Educational Technologies (WCET) and Ms. Jeannie Yockey-Fine, Senior Advisor, State Regulatory Services, Cooley LLP.

  Approximately 80 attendees from Virginia higher education institutions attended and Dr. Hill provided information on NC-SARA’s current membership [only five states have yet to join: California, Connecticut, Massachusetts, New Jersey and Florida], student enrollment data and policy changes. Mr. Poulin and Ms. Yockey-Fine explained the US Department of Education’s (USED) proposed distance learning regulations and identified potential institutional impacts. Dr. DeFilippo provided an overview of SCHEV’s strategic plan and a briefing on revisions to the Commonwealth of Virginia Policy on the Reciprocal Authorization of Distance Education and Related Activities. Ms. Derricott
presented information on institutional participation and the established Virginia-SARA website.

Sexual Violence Advisory Committee

- **Ms. Covington** convened a meeting of the Sexual Violence Advisory Committee to hear the regional subcommittee report-outs and to discuss the pilot program for a regional center for sexual assault investigations. The pilot study project manager, **Ms. Lockhart**, solicited feedback on the regional center from advisory committee members. Based on that feedback, another meeting will be convened, to include attorney Gina Maisto-Smith, architect of the regional center concept.

Military Education Advisory Committee (MEAC)

- **MEAC** was convened by **Ms. Covington** at SCHEV on December 12. SCHEV staff briefed the committee on The Virginia Plan initiatives and the revision of the Transfer Policy. The committee also heard updates on the VCCS initiative to standardize acceptance of credits for military education, training and experience across its institutions and debriefed on the Greenzone Workshop held at VCU.

Staff Activities

- **Ms. Covington**
  - attended the 13th annual Open Education Conference in November, the premiere venue for sharing research, development, advocacy, design, and other work relating to open education.
  - in December, attended the American Association of State Colleges and Universities (AASCU) Government Relations conference in St. Petersburg, Florida.

- **Dr. DeFilippo**
  - co-presented in one session of the Virginia Assessment Group (VAG) conference in November;
  - attended the VALUE State Policy Conversation hosted by the Association of American Colleges and Universities (AAC&U) in Washington, D.C. on November 22.

- **Dr. Fisler**
  - co-presented in three sessions at the (VAG) conference in November, including a session about the new assessment policy currently under development;
  - attended the VALUE State Policy Conversation hosted by AAC&U in Washington, D.C. on November 22;
  - attended the SACSCOC annual meeting in December;
  - will attend a meeting of the Virginia career center directors on January 6 to discuss collection and reporting of data related to post-graduate employment outcomes.
Staff:

Ms. Beverly Covington, Senior Associate for Academic & Legislative Affairs
Dr. Joseph G. DeFilippo, Director, Academic Affairs & Planning
Ms. Darlene Derricott, Senior Coordinator, Academic Services
Dr. Jodi Fisler, Associate for Assessment Policy & Analysis
Ms. Ashley Lockhart, Regional Center Study Project Manager
Dr. Monica Osei, Associate Director for Academic Programs & Instructional Sites
Ms. Sylvia Rosa-Casanova, Director, Private and Out of State Postsec. Education
Dr. Paul Smith, Senior Associate for Student Mobility Policy & Research
Ms. Connelly called the meeting to order at 4:15 p.m. in the 9th floor board room, Virginia Economic Development Partnership, 901 E. Cary Street, Richmond, Virginia. Committee members present: Marge Connelly, Henry Light, Bill Murray, Tom Slater, and Minnis Ridenour. Al Wilson from the Office of the Attorney General was also in attendance.

Committee member absent: Dan Gundersen

Staff members present: Lee Andes, Peter Blake, Alan Edwards, Dan Hix, Wendy Kang, Tod Massa, Lee Ann Rung, and Yan Zheng.

**APPROVAL OF MINUTES**

On a motion by Dr. Murray and seconded by Mr. Slater the minutes from the September 19, 2016, meeting were approved unanimously.

**ACTION ON 2016-18 SYSTEMWIDE OPERATING BUDGET AMENDMENTS**

Mr. Blake provided a brief overview of the information beginning on page 60. He noted that the recommendations are modest and focus on core salary, operations and maintenance, and financial aid. Mr. Hix provided a presentation with more detail.

Several members commented that they have been contacted by private institutions about increasing the Tuition Assistance Grant (TAG). In response to a question by Dr. Murray, Mr. Hix asked Mr. Andes to address the cost associated with TAG. He reported that for every $50 added to the TAG award, the cost is approximately $1M. The current authorized award amount is $3200 and $3300 for FY2017 and FY2018, respectively. The recommendation is to increase the FY2018 award to $3350. Mr. Andes reported that this is consistent with Council's biennial recommendation in October 2015 and would require no additional funds for FY2018.

Dr. Murray left the meeting at 4:25 p.m.

Mr. Hix reviewed the four goals of The Virginia Plan and discussed the summary of the SCHEV budget amendments in FY2018. Mr. Hix noted that additional language was added to the resolution as requested by Council at the last meeting that includes commending institutions for their financial management strategies, affirming support for the flexibility and autonomy provided to the public institutions, and noting the importance of protecting endowments.
Mr. Hix answered questions from members. On a motion by Mr. Ridenour and seconded by Mr. Light the following revised resolution was approved unanimously (4-0) to be forwarded to the full Council:

WHEREAS, Goal #1 of the Virginia Plan for Higher Education is to provide affordable higher education access for all, with the alignment of state appropriations, financial aid, and tuition and fees being critical to attaining this goal and thereby enabling our graduates to compete in the global market place and advance the economic and cultural prosperity of the Commonwealth and its regions, now therefore,

BE IT RESOLVED that the State Council of Higher Education for Virginia recommends that additional operating budget appropriations be provided in FY2018 for:

- Base Adequacy/Cost of Education, $4.4 million in general fund and $3.8 million from nongeneral funds for a total of $8.2 million in FY2018;

- Faculty Salaries, $21.6 million in general fund and $21.6 million from nongeneral funds for a total of $43.2 million in FY2018;

- Operation and Maintenance of New Facilities Coming Online, $8.1 million in general fund and $5.8 million from nongeneral funds for a total of $13.9 million in FY2018;

- The Higher Education Equipment Trust Fund, $8.9 million in additional allocations to meet the Council's original recommendation of $75.9 million for FY2018 to be financed through debt service payments beginning in FY2019.

- Undergraduate Student Financial Aid, $8.9 million in general fund in FY2018;

- Two-Year College Transfer Grant, $900,000 in general fund in FY2017 and $1.9 million in general fund in FY2018;

- Tuition Assistance Grant, increase the maximum award for undergraduate students to $3,350 in FY2018, no additional funding required.

BE IT RESOLVED that the State Council of Higher Education for Virginia recommends that institutions be given the flexibility to award additional merit-based salary increases beyond the state-authorized three percent increase recommended above in order to attract and retain high-performing faculty and move closer to the Commonwealth's 60th percentile goal. Any such increases shall be incorporated as authorized or appropriated increases in future benchmark calculations of need. Tuition-related increases should be minimal and not compromise affordable access for Virginia undergraduate students.
BE IT FURTHER RESOLVED that, the State Council of Higher Education for Virginia commends our public institutions of higher education for their financial management strategies—best practices that have allowed them to maintain their viability through economic downturns—and affirms its support for the flexibility and autonomy that is provided to those institutions through the Restructured Higher Education Financial and Administrative Operation Act of 2005 and the Virginia Higher Education Opportunity Act of 2011, within the framework of accountability and transparency required of our colleges and universities to perform and be measured on that performance.

BE IT FINALLY RESOLVED that the State Council of Higher Education for Virginia strongly supports the protection provided the public institutions of higher education by the Code of Virginia (§23.1-101.2) which states that when measuring the extent to which the Commonwealth shall finance higher education, the availability of endowment funds and unrestricted gifts from private sources “shall neither be taken into consideration in nor used to reduce state appropriations or payments and shall be used in accordance with the wishes of the donors of such funds to strengthen the services rendered by these institutions to the people of the Commonwealth.”

REPORT OF 2015-16 DEGREE COMPLETIONS

Mr. Massa provided a presentation on the report and explained that 119,934 total degrees and certificates were awarded in 2015-16, which was a 3.8% increase over the prior year. He provided information on awards by public institutions and degree type. Mr. Massa also discussed awards by private institutions which were 32,570; a 3.5% increase overall. The cumulative awards by 2030 (assuming 1% annual growth), should achieve the goal of 1.5 million new Virginia degrees by 2030. He noted that all other states are trying to achieve similar increases. Mr. Massa expressed concerns about the population aged 25-34 and indicated that this could require more efforts to increase degree completions in this age bracket.

MOTION TO ADJOURN

The Chair adjourned the meeting at 5:05 p.m.

_______________________________
Marge Connelly
Committee Chair

_______________________________
Lee Ann Rung
Director, Executive & Board Affairs
Item: Resources and Planning Committee #D3 – Action on 2017 Enrollment Projection and Degree Estimate Process

Date of Meeting: January 10, 2017

Presenter: Tod Massa, Director of Policy Research and Data Warehousing
todmassa@schev.edu

Most Recent Review/Action:
☐ No previous Council review/action
☒ Previous review/action
  Date: October 26, 2015
  Action: Approved enrollment projections and degree estimates.

Background Information/Summary of Major Elements:

Background: At Council’s October 26, 2015, meetings, the Council approved the enrollment projections for the 2015 cycle representing projections from 2015 through 2021-22. The round of enrollment projections and degree estimates produced in 2017 will extend through academic year 2023-24. These projections are an early indicator of determining the likelihood that Commonwealth’s goal of becoming the best-educated state in nation by 2030. These projections also serve as a key component of the public institutions’ six-year plans, with the other components being both the academic and financial plans, and set the known supply of likely seats for the next six years. Below are the excerpts from the Code of Virginia regarding the Council duties and authorities pertaining to enrollment projections and degree estimates:

§23.1-203.4
4. Review and approve or disapprove all enrollment projections proposed by each public institution of higher education. The Council's projections shall be in numerical terms by level of enrollment and shall be used for budgetary and fiscal planning purposes only. The Council shall develop estimates of the number of degrees to be awarded by each institution and include those estimates in its reports of enrollment projections. The student admissions policies for the institutions and their specific programs shall remain the sole responsibility of the individual boards of visitors; however, all four-year institutions shall adopt dual admissions policies with the community colleges, as required by § 23-9.2:3.02.
§23.1-304.C
C. In order to assist the General Assembly in determining the per student amount provided for in subsection A and its relation to the per student amount provided to private nonprofit institutions of higher education pursuant to the Tuition Assistance Grant Act (§ 23-38.11 et seq.), each private nonprofit institution of higher education eligible to participate in the Tuition Assistance Grant Program shall submit to the Council its Virginia student enrollment projections for that fiscal year and its actual Virginia student enrollment for the prior fiscal year in a manner determined by the Council. The student admissions policies for the private institutions and their specific programs shall remain the sole responsibility of the governing boards of the individual institutions.

The authority of the Council is limited to approval or disapproval of these enrollment projections and degree estimates as tools for budgetary and fiscal planning purposes only. Projections also are a component of the performance standards used for institutional certification.

Enrollment projections and degree estimates serve as a statement of intent to offer higher education opportunities to students. Their accuracy is influenced by many factors, such as the selectivity of the institution and economic conditions. While they reflect intent, they are based on past behavior of students in an evolving market. Further, the entering student cohort of first-time in college, particularly those coming directly from high school, is the most stable group. All other groups may have wide ranges of variance (with the exception of first-professional programs which are generally much smaller in comparison and much more restricted to entry).

It is in this context, and sensitivity to the increasing burdens on the institutional research functions within institutions, that staff has worked to streamline the submission process of the enrollment projections for 2017. Historically, the SCHEV-2B has been a highly detailed collection of painstaking tables requiring hours of staff time to complete and review – exclusive of time spent in preparing the institution’s projections themselves. Further, over the last decade additional requirements have been added, such as the degree estimates, the projection of new student enrollment by level and the long-term estimates.

After hearing concerns of institutional staff and looking at our use of the data, SCHEV staff worked with representatives from public and private institutions to create a modified template. This new template reduces the overall enrollment detail from 32 separate tables to eight. Staff has eliminated some of the campus-based data that have fallen into disuse and replaced some of the detail with ratios. Overall, staff will be able to reproduce about 90% of the data previously collected with much less effort.

**Materials Provided:** None.
Financial Impact: Financial impacts are dependent upon final statewide targets established.

Timetable for Further Review/Action: Institutional targets should be available for preliminary review by Council by the July meeting. Council approval of the enrollment projections and degree estimates is anticipated at the October 2017 meeting.

Resolution:

BE IT RESOLVED that the State Council of Higher Education for Virginia directs staff to collaborate with institutions to produce a package of enrollment projections and degree estimates that informs the Council on its ability to meet the Commonwealth’s goal of “becoming the best educated state in the nation by 2030”; and

BE IT FURTHER RESOLVED that the enrollment projection and degree estimate process shall follow the schedule below as closely as possible:

1. Kickoff Webinar – February 9th
2. Optional Training Webinars February 13th – 24th
3. Submission Due Dates:
   a. Public Institutions – May 1st
   b. Private Institutions – May 1st
4. Staff Update – May Council Meeting
5. Staff Update – July Council Meeting
6. Meetings with Institutions (as part of six-year plan review) – Summer
7. Council Review – September Council Meeting
8. Council Action – October Council Meeting
Virginia’s four-year institutions experienced modest enrollment growth in Fall 2016 compared to Fall 2015. Public institutions increased enrollment by 1,197 students, to a total of 215,677 students.

- New student enrollment (first-time in college at public four-year institutions) increased by 261 students (1%) to 33,651;
  - an increase of 637 students from Virginia,
  - a decrease of 432 nonresident students.

- Enrollment of new transfer students (from all institutions types at public four-year institutions) increased by 693 students to 11,740.
  - 7,887 of these new transfers came from the Virginia Community College System and Richard Bland College, an increase of 492 students (7%).
  - 3,471 had completed an associate’s degree in the prior year, an increase of 288 students (9%).

- Graduate enrollment increased by 783 students (2%) to 39,762.
- First professional enrollment increased by five students to 4,916.

Virginia four-year public institutions appear to be weathering the shifting marketplace well. Enrollment is up slightly overall, although below the projected totals of two years ago. Some institutions have lost enrollment, but overall undergraduate enrollment has increased. Enrollment of new first-time in college
students is up 2.5%, replacing a decrease of 428 students from outside Virginia. New transfer students from Virginia’s public two-year colleges is up 7%. Enrollment figures for the Virginia Community College System and the private nonprofit institutions will become available later in the year.

**Materials Provided:** Enclosed are three tables of Fall 2016 enrollment data at public four-year institutions. These data are also available on the SCHEV website’s Research section (http://research.schev.edu/apps/info/Reports.Guide-to-the-Fall-Headcount-Enrollment-Reports.ashx).

**Financial Impact:** None

**Timetable for Further Review/Action:** Additional and updated information on Fall 2016 enrollment will be posted regularly to the SCHEV website.

**Resolution:** None
## Fall 2016 Enrollment

### Table 1: Total Enrollment at Public Four-year Institutions

<table>
<thead>
<tr>
<th>Institution</th>
<th>Enrollment in 2016-17</th>
<th>Estimates for 2016-17</th>
<th>Difference between Enrollment and Projections*</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>N</td>
<td>Change from 2015-16</td>
<td>N</td>
</tr>
<tr>
<td>Total Public Four-year Institutions</td>
<td>215,677</td>
<td>1,197</td>
<td>0.6%</td>
</tr>
<tr>
<td>Christopher Newport University</td>
<td>5,042</td>
<td>-130</td>
<td>-2.5%</td>
</tr>
<tr>
<td>College of William and Mary</td>
<td>8,617</td>
<td>133</td>
<td>1.6%</td>
</tr>
<tr>
<td>George Mason University</td>
<td>35,189</td>
<td>1,077</td>
<td>3.2%</td>
</tr>
<tr>
<td>James Madison University</td>
<td>21,270</td>
<td>43</td>
<td>0.2%</td>
</tr>
<tr>
<td>Longwood University</td>
<td>4,885</td>
<td>-202</td>
<td>-4.0%</td>
</tr>
<tr>
<td>Norfolk State University</td>
<td>5,421</td>
<td>314</td>
<td>6.1%</td>
</tr>
<tr>
<td>Old Dominion University</td>
<td>24,322</td>
<td>-350</td>
<td>-1.4%</td>
</tr>
<tr>
<td>Radford University</td>
<td>9,401</td>
<td>-342</td>
<td>-3.5%</td>
</tr>
<tr>
<td>University of Mary Washington</td>
<td>4,726</td>
<td>79</td>
<td>1.7%</td>
</tr>
<tr>
<td>University of Virginia</td>
<td>23,898</td>
<td>15</td>
<td>0.1%</td>
</tr>
<tr>
<td>University of Virginia's College at Wise</td>
<td>2,221</td>
<td>193</td>
<td>9.5%</td>
</tr>
<tr>
<td>Virginia Commonwealth University</td>
<td>31,218</td>
<td>-24</td>
<td>-0.1%</td>
</tr>
<tr>
<td>Virginia Military Institute</td>
<td>1,713</td>
<td>-4</td>
<td>-0.2%</td>
</tr>
<tr>
<td>Virginia State University</td>
<td>4,584</td>
<td>-112</td>
<td>-2.4%</td>
</tr>
<tr>
<td>Virginia Tech</td>
<td>33,170</td>
<td>507</td>
<td>1.6%</td>
</tr>
</tbody>
</table>
# Table 2: In-State Undergraduate Enrollment at Public Four-year Institutions

<table>
<thead>
<tr>
<th>Institution</th>
<th>Enrollment in 2016-17</th>
<th>Estimates for 2016-17</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>N</td>
<td>Change from 2015-16</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total Public Four-year Institutions</td>
<td>139,430</td>
<td>942</td>
</tr>
<tr>
<td>Christopher Newport University</td>
<td>4,627</td>
<td>-126</td>
</tr>
<tr>
<td>College of William and Mary</td>
<td>4,144</td>
<td>-66</td>
</tr>
<tr>
<td>George Mason University</td>
<td>20,399</td>
<td>602</td>
</tr>
<tr>
<td>James Madison University</td>
<td>14,561</td>
<td>378</td>
</tr>
<tr>
<td>Longwood University</td>
<td>4,087</td>
<td>-204</td>
</tr>
<tr>
<td>Norfolk State University</td>
<td>3,935</td>
<td>16</td>
</tr>
<tr>
<td>Old Dominion University</td>
<td>18,036</td>
<td>-367</td>
</tr>
<tr>
<td>Radford University</td>
<td>7,956</td>
<td>-406</td>
</tr>
<tr>
<td>University of Mary Washington</td>
<td>3,958</td>
<td>58</td>
</tr>
<tr>
<td>University of Virginia</td>
<td>11,361</td>
<td>127</td>
</tr>
<tr>
<td>University of Virginia's College at Wise</td>
<td>2,123</td>
<td>202</td>
</tr>
<tr>
<td>Virginia Commonwealth University</td>
<td>21,446</td>
<td>331</td>
</tr>
<tr>
<td>Virginia Military Institute</td>
<td>1,043</td>
<td>22</td>
</tr>
<tr>
<td>Virginia State University</td>
<td>3,068</td>
<td>-132</td>
</tr>
<tr>
<td>Virginia Tech</td>
<td>18,686</td>
<td>507</td>
</tr>
</tbody>
</table>
Table 3: In-State First-Time in College Enrollment at Public Four-year Institutions

<table>
<thead>
<tr>
<th>Institution</th>
<th>Enrollment in 2016-17</th>
<th>Estimates for 2016-17</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>N</td>
<td>Change from 2015-16</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total Public Four-year Institutions</td>
<td>26,272</td>
<td>637</td>
</tr>
<tr>
<td>Christopher Newport University</td>
<td>1,145</td>
<td>11</td>
</tr>
<tr>
<td>College of William and Mary</td>
<td>945</td>
<td>-18</td>
</tr>
<tr>
<td>George Mason University</td>
<td>2,565</td>
<td>108</td>
</tr>
<tr>
<td>James Madison University</td>
<td>3,260</td>
<td>168</td>
</tr>
<tr>
<td>Longwood University</td>
<td>878</td>
<td>-93</td>
</tr>
<tr>
<td>Norfolk State University</td>
<td>712</td>
<td>357</td>
</tr>
<tr>
<td>Old Dominion University</td>
<td>2,453</td>
<td>-191</td>
</tr>
<tr>
<td>Radford University</td>
<td>1,623</td>
<td>-189</td>
</tr>
<tr>
<td>University of Mary Washington</td>
<td>864</td>
<td>20</td>
</tr>
<tr>
<td>University of Virginia</td>
<td>2,463</td>
<td>1</td>
</tr>
<tr>
<td>University of Virginia's College at Wise</td>
<td>310</td>
<td>35</td>
</tr>
<tr>
<td>Virginia Commonwealth University</td>
<td>3,750</td>
<td>192</td>
</tr>
<tr>
<td>Virginia Military Institute</td>
<td>267</td>
<td>2</td>
</tr>
<tr>
<td>Virginia State University</td>
<td>690</td>
<td>149</td>
</tr>
<tr>
<td>Virginia Tech</td>
<td>4,347</td>
<td>85</td>
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</table>
## Table 4: Transfer Enrollment at Public Four-year Institutions from VCCS and RBC

<table>
<thead>
<tr>
<th>Institution</th>
<th>Total Transfers 2016-17</th>
<th>Change from 2015-16</th>
<th>Transfer with AA/AAS in Prior Year Enrolling 2016-17</th>
<th>Change from 2015-16</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>N</td>
<td>N</td>
<td>N</td>
<td>%</td>
</tr>
<tr>
<td>Total Public Four-year Institutions</td>
<td>7,887</td>
<td>492</td>
<td>3,471</td>
<td>288</td>
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<tr>
<td></td>
<td></td>
<td>7%</td>
<td></td>
<td>9%</td>
</tr>
<tr>
<td>Christopher Newport University</td>
<td>81</td>
<td>-3</td>
<td>35</td>
<td>1</td>
</tr>
<tr>
<td></td>
<td></td>
<td>-4%</td>
<td></td>
<td>3%</td>
</tr>
<tr>
<td>College of William and Mary</td>
<td>78</td>
<td>-20</td>
<td>42</td>
<td>-13</td>
</tr>
<tr>
<td></td>
<td></td>
<td>-20%</td>
<td></td>
<td>-24%</td>
</tr>
<tr>
<td>George Mason University</td>
<td>1,991</td>
<td>233</td>
<td>1,080</td>
<td>135</td>
</tr>
<tr>
<td></td>
<td></td>
<td>13%</td>
<td></td>
<td>14%</td>
</tr>
<tr>
<td>James Madison University</td>
<td>546</td>
<td>54</td>
<td>292</td>
<td>60</td>
</tr>
<tr>
<td></td>
<td></td>
<td>11%</td>
<td></td>
<td>26%</td>
</tr>
<tr>
<td>Longwood University</td>
<td>131</td>
<td>-1</td>
<td>74</td>
<td>17</td>
</tr>
<tr>
<td></td>
<td></td>
<td>-1%</td>
<td></td>
<td>30%</td>
</tr>
<tr>
<td>Norfolk State University</td>
<td>220</td>
<td>52</td>
<td>53</td>
<td>7</td>
</tr>
<tr>
<td></td>
<td></td>
<td>31%</td>
<td></td>
<td>15%</td>
</tr>
<tr>
<td>Old Dominion University</td>
<td>1,348</td>
<td>8</td>
<td>614</td>
<td>49</td>
</tr>
<tr>
<td></td>
<td></td>
<td>1%</td>
<td></td>
<td>9%</td>
</tr>
<tr>
<td>Radford University</td>
<td>568</td>
<td>23</td>
<td>191</td>
<td>1</td>
</tr>
<tr>
<td></td>
<td></td>
<td>4%</td>
<td></td>
<td>1%</td>
</tr>
<tr>
<td>University of Mary Washington</td>
<td>237</td>
<td>24</td>
<td>106</td>
<td>-6</td>
</tr>
<tr>
<td></td>
<td></td>
<td>11%</td>
<td></td>
<td>-5%</td>
</tr>
<tr>
<td>University of Virginia</td>
<td>368</td>
<td>-5</td>
<td>208</td>
<td>27</td>
</tr>
<tr>
<td></td>
<td></td>
<td>-1%</td>
<td></td>
<td>15%</td>
</tr>
<tr>
<td>University of Virginia’s College at Wise</td>
<td>88</td>
<td>21</td>
<td>47</td>
<td>16</td>
</tr>
<tr>
<td></td>
<td></td>
<td>31%</td>
<td></td>
<td>52%</td>
</tr>
<tr>
<td>Virginia Commonwealth University</td>
<td>1,420</td>
<td>69</td>
<td>429</td>
<td>2</td>
</tr>
<tr>
<td></td>
<td></td>
<td>5%</td>
<td></td>
<td>0%</td>
</tr>
<tr>
<td>Virginia Military Institute</td>
<td>10</td>
<td>-9</td>
<td>1</td>
<td>1</td>
</tr>
<tr>
<td></td>
<td></td>
<td>-47%</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Virginia State University</td>
<td>92</td>
<td>2</td>
<td>16</td>
<td>-1</td>
</tr>
<tr>
<td></td>
<td></td>
<td>2%</td>
<td></td>
<td>-6%</td>
</tr>
<tr>
<td>Virginia Tech</td>
<td>709</td>
<td>44</td>
<td>283</td>
<td>-8</td>
</tr>
<tr>
<td></td>
<td></td>
<td>7%</td>
<td></td>
<td>-3%</td>
</tr>
</tbody>
</table>
State Council of Higher Education for Virginia
Agenda Item

Item: Resources and Planning Committee #D5 – Discussion of Governor's Introduced Budget

Date of Meeting: January 10, 2017

Presenter: Dan Hix, Finance Policy Director, DanHix@schev.edu

Most Recent Review/Action:
- No previous Council review/action
- Previous review/action
  Date: October 24, 2016
  Action: The Council approved an operating and financial aid biennial budget amendment recommendation totaling $45 million from the general fund and $31.2 million in nongeneral funds for the 2016-18 biennium.

Background Information/Summary of Major Elements:

On December 16, 2016, Governor McAuliffe introduced his budget amendments for the 2016-18 biennium.

In total, the Governor proposed a decrease of $134.7 million in general fund appropriations for higher education for the biennium.

Due to the state budget shortfall, the Governor proposed a general fund reduction of 5% or $78.4 million to higher education in FY 2018. Within Educational and General Programs, the budget reductions would eliminate the gains made in the 2016 legislative session.

In addition to the 5% reduction, the proposed budget amendments reduced institutions' general fund appropriations by $24.2 million in FY2017 and $16.1 million in FY2018 to recover adjustments to Virginia Retirement System (VRS) rates for employees.

The introduced budget allocated $24.1 million student financial aid held in SCHEV budget to institutions in FY2018. The allocation equals the amount appropriated to institutions in FY2017. Also within financial aid, the introduced budget increased funding for the New Economy Workforce Credential Grant in FY 2017 by $1 million and the Virginia Transfer Grant in FY2018 by nearly $700,000.
The Governor provided additional bond funding for higher education institutions for equipment purchase of previously authorized capital projects, cost overruns and to supplant general fund appropriations for capital projects.

**Materials Provided:** A preliminary summary of the Governor’s introduced budget is enclosed.

**Financial Impact:** To be determined through the legislative process.

**Timetable for Further Review/Action:** Staff will provide periodic updates to Council between now and the end of the 2017 General Assembly session.

**Resolution:** None.
## Preliminary Summary of Major Items in the Governor’s Introduced Budget Amendments for Higher Education in the 2016-18 Biennium (General Fund)

### (A) Base Operation and Student Financial Aid (General Fund Only)

<table>
<thead>
<tr>
<th>Item</th>
<th>Amended 2016-18 Budget for Operations</th>
</tr>
</thead>
</table>
| **Budget Reductions**                              | ▪ 5% general fund reductions in E&G programs for public institutions, VIMS and VT-extension for a total of $76.1 million in FY2018.  
▪ NSU and VSU were exempt from the cut.  
▪ EVMS and other higher education centers and institutes’ were cut by 5% in FY2017 and FY2018.  
▪ SCHEV budget was cut by 5% in FY2017 and 7.5% in FY2018 except for VIVA which was cut by 5% in each year. |
| VRS General Fund Recovery                          | Reduce Institutions’ general fund appropriations in E&G by $24.2 million in FY2017 and $16.1 million in FY2018 to recover the general fund equivalent of the nongeneral fund portion of the prepayment made to the Virginia Retirement System (VRS) to reduce VRS rates per employee. |
| Undergraduate Need-Based Financial Aid              | Allocate $24.1 million held in SCHEV budget to institutions in FY2018. Allocation is equal to the amount appropriated in FY2017. |
| Employee Compensation                              | 1.5% bonus for full-time state employees, effective December 2017. $6.1 million GF/ $9.6 million NGF for classified staff and faculty at institutions. |
| Health Insurance and VRS rates                     | No change to health insurance premiums or VRS rates. |
| Interest Earnings and Credit Card Rebates           | ▪ Eliminate $4 million GF to Level I and II institutions each year.  
▪ Eliminate estimated $1 million NGF to Level III Institutions each year. |
| INOVA Global Genomics and Bioinformatics Research Institute | Reduce $4 million GF in FY2017. |
| SCHEV Budget                                       | ▪ $1 million GF in FY2017 for New Economy Workforce Credential Grant Program.  
▪ $699,667 GF in FY2018 for Two-Year Transfer Grant. |
| Central Budget Items Impacting Higher Education     | The following items are proposed for general fund adjustments with no specific allocation:  
▪ Savings in workers compensation premiums (Item 475, paragraph V)  
▪ Additional funding for technology service (Item 476, paragraph G)  
▪ Savings in the changes related to the Cardinal system (Item |
476, paragraph L)
- Personnel Management Information System (PMIS) – executive branch agencies will be charged to support PMIS system (internal service fund) (Item 476, paragraph O)

**(B) Language**

<table>
<thead>
<tr>
<th>Two Year College Transfer Grant</th>
<th>Language added to the budget to provide flexibility to use any remaining unspent funds within all two-year transfer grant programs. (Item 144, paragraph G.4.c)</th>
</tr>
</thead>
<tbody>
<tr>
<td>VCCS procurement</td>
<td>Updates language to allow the Commonwealth to delegate authority to VCCS system office which can then delegate authority to its shared services center and other community colleges. (Section 4-9.02, paragraph d.)</td>
</tr>
<tr>
<td>Flexibility in reductions</td>
<td>Adds language to give flexibility to selected institutions in spreading reductions.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Item</th>
<th>Amended 2016-18 Budget for Capital Outlay</th>
</tr>
</thead>
<tbody>
<tr>
<td>Equipment funding</td>
<td>Provides funding in central accounts to be disbursed to agencies and institutions of higher education for equipment purchases related to previously authorized capital projects ($17.3 million for higher education institutions)</td>
</tr>
<tr>
<td>General Fund Supplants</td>
<td>Supplants general fund capital with VCBA bond proceeds for higher education institutions ($94.7 million)</td>
</tr>
<tr>
<td>Supplemental VCBA for cost overruns</td>
<td>- Virginia State University – Erosion and Sediment Control Master Plan/Retention Pond ($2.4 million)</td>
</tr>
<tr>
<td></td>
<td>- Virginia Tech – Renew/Renovate Academic Buildings ($4.4 million)</td>
</tr>
</tbody>
</table>
### Summary of the Governor's Introduced Budget Amendments by Category

(General Fund)

<table>
<thead>
<tr>
<th>Category</th>
<th>FY 2017</th>
<th>FY 2018</th>
<th>Biennial Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Across-the-Board Reductions</td>
<td>($78.4)</td>
<td>($78.4)</td>
<td></td>
</tr>
<tr>
<td>Virginia Retirement System Adjustments</td>
<td>($24.2)</td>
<td>($16.1)</td>
<td>($40.3)</td>
</tr>
<tr>
<td>Higher Education Research Initiative</td>
<td>($8.0)</td>
<td>($6.0)</td>
<td>($14.0)</td>
</tr>
<tr>
<td>Restructuring Savings (interest Earnings &amp; Credit Card Rebates)</td>
<td>($4.0)</td>
<td>($4.0)</td>
<td>($8.0)</td>
</tr>
<tr>
<td>Other Savings</td>
<td>($1.5)</td>
<td>($0.3)</td>
<td>($1.8)</td>
</tr>
<tr>
<td>1.5% Bonus for State Employees</td>
<td></td>
<td>$6.1</td>
<td>$6.1</td>
</tr>
<tr>
<td>Financial Aid</td>
<td>$1.0</td>
<td>$0.7</td>
<td>$1.7</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td>($36.7)</td>
<td>($98.0)</td>
<td>($134.7)</td>
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## Governor's Introduced Budget Amendments in 2016-18 Biennium

<table>
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<tr>
<th>Inst.</th>
<th>October Savings Plan¹</th>
<th>VRS GF Recovery</th>
<th>Other E&amp;G Adjustments</th>
<th>Total GF Change</th>
<th>E&amp;G GF Reduction²,³</th>
<th>VRS GF Recovery</th>
<th>Other E&amp;G Adjustments</th>
<th>Financial Aid⁴</th>
<th>Total GF Change</th>
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<tr>
<td>CNU</td>
<td>(390,307)</td>
<td>(390,307)</td>
<td>(1,423,060)</td>
<td>(260,205)</td>
<td>186,591</td>
<td>(1,496,674)</td>
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<tr>
<td>CWM</td>
<td>(1,020,946)</td>
<td>(1,020,946)</td>
<td>(2,183,886)</td>
<td>(680,630)</td>
<td>131,919</td>
<td>(2,732,597)</td>
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<tr>
<td>GMU</td>
<td>(1,935,422)</td>
<td>(1,935,422)</td>
<td>(6,937,504)</td>
<td>(1,290,281)</td>
<td>3,064,841</td>
<td>(5,162,944)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>JMU</td>
<td>(1,551,829)</td>
<td>(1,551,829)</td>
<td>(4,152,407)</td>
<td>(1,034,553)</td>
<td>201,326</td>
<td>(4,885,634)</td>
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<td></td>
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</tr>
<tr>
<td>LU²</td>
<td>(286,500)</td>
<td>(286,500)</td>
<td>(1,803,536)</td>
<td>(217,687)</td>
<td>336,214</td>
<td>(1,231,980)</td>
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<td>NSU</td>
<td>(486,295)</td>
<td>(486,295)</td>
<td>(324,197)</td>
<td></td>
<td>2,950,444</td>
<td>2,626,247</td>
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<td></td>
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<tr>
<td>ODU</td>
<td>(1,002,931)</td>
<td>(1,002,931)</td>
<td>(6,243,124)</td>
<td>(686,621)</td>
<td>4,340,632</td>
<td>(2,751,115)</td>
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<tr>
<td>RU</td>
<td>(517,096)</td>
<td>(517,096)</td>
<td>(2,525,221)</td>
<td>(344,731)</td>
<td>1,685,086</td>
<td>(1,184,866)</td>
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<tr>
<td>UMW</td>
<td>(314,079)</td>
<td>(314,079)</td>
<td>(1,378,860)</td>
<td>(209,386)</td>
<td>234,822</td>
<td>(1,354,545)</td>
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<tr>
<td>UVA</td>
<td>(5,048,921)</td>
<td>(5,048,921)</td>
<td>(7,038,035)</td>
<td>(3,365,948)</td>
<td>232,735</td>
<td>(10,189,245)</td>
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<tr>
<td>UVAV</td>
<td>(117,388)</td>
<td>(117,388)</td>
<td>(776,390)</td>
<td>(78,259)</td>
<td>365,638</td>
<td>(489,011)</td>
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<tr>
<td>VCU</td>
<td>(3,210,947)</td>
<td>(3,210,947)</td>
<td>(9,603,574)</td>
<td>(2,140,631)</td>
<td>4,417,541</td>
<td>(7,326,664)</td>
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<tr>
<td>VMI</td>
<td>(288,536)</td>
<td>(288,536)</td>
<td>(709,189)</td>
<td>(192,357)</td>
<td>45,312</td>
<td>(656,234)</td>
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<tr>
<td>VSU</td>
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<td>(477,961)</td>
<td>(318,641)</td>
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<td>1,199,616</td>
<td>880,975</td>
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<tr>
<td>VT</td>
<td>(4,110,195)</td>
<td>(4,110,195)</td>
<td>(8,588,385)</td>
<td>(2,740,130)</td>
<td>590,288</td>
<td>(10,739,227)</td>
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<td>RBC</td>
<td>(42,715)</td>
<td>(42,715)</td>
<td>(328,532)</td>
<td>(28,476)</td>
<td>57,911</td>
<td>(299,097)</td>
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<tr>
<td>VCCS</td>
<td>(3,377,834)</td>
<td>(3,377,834)</td>
<td>(19,716,711)</td>
<td>(2,251,889)</td>
<td>3,277,747</td>
<td>(18,040,853)</td>
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<tr>
<td>All Inst.</td>
<td>(24,219,902)</td>
<td>(24,219,902)</td>
<td>(72,983,444)</td>
<td>(16,146,602)</td>
<td>24,098,663</td>
<td>(65,031,383)</td>
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<table>
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<tr>
<th>Inst.</th>
<th>VIMS</th>
<th>VT-ext</th>
<th>SURA</th>
<th>SWHEC</th>
<th>RHEC</th>
<th>IALR</th>
<th>SVHEC</th>
<th>NCI</th>
<th>EVMS</th>
<th>HER</th>
<th>Restructuring</th>
<th>Salary Bonus⁵</th>
<th>SCHEV</th>
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<tr>
<td></td>
<td>(1,039,390)</td>
<td>(2,040,693)</td>
<td>(67,128)</td>
<td>(108,058)</td>
<td>(73,300)</td>
<td>(321,862)</td>
<td>(143,544)</td>
<td>(86,409)</td>
<td>(939,583)</td>
<td>(8,000,000)</td>
<td>(4,000,000)</td>
<td>6,100,000</td>
<td>(24,098,663)</td>
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<tr>
<td></td>
<td>(24,098,663)</td>
<td>(699,667)</td>
<td>(67,128)</td>
<td>(108,058)</td>
<td>(73,300)</td>
<td>(321,862)</td>
<td>(143,544)</td>
<td>(86,409)</td>
<td>(939,583)</td>
<td>(8,000,000)</td>
<td>(4,000,000)</td>
<td>6,100,000</td>
<td>(24,098,663)</td>
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</tbody>
</table>

### Notes:
1. 5% budget reduction
2. NSU and VSU are exempt from the cut.
3. SCHEV items were cut by 7.5% except VIVA at 5% in FY2018.
4. Allocate the funding held in SCHEV budget. Allocation is equal to the amount appropriated in FY2017.
6. 1.5% bonus for full-time state employees, effective December 2017.
### Higher Education Equipment Funding for Previously Authorized Capital Projects (VCBA)

<table>
<thead>
<tr>
<th>Inst</th>
<th>Project Title</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>VT</td>
<td>Renovate/Renew Academic Buildings</td>
<td>$3,174,000</td>
</tr>
<tr>
<td>VSU</td>
<td>Renovate Lockett Hall</td>
<td>$1,500,000</td>
</tr>
<tr>
<td>LU</td>
<td>Construct Admission Office</td>
<td>$1,002,000</td>
</tr>
<tr>
<td>VT-ext</td>
<td>Improve Kendland Facilities</td>
<td>$745,000</td>
</tr>
<tr>
<td>VCCS</td>
<td>Replace Anderson Hall, Virginia Western</td>
<td>$3,575,000</td>
</tr>
<tr>
<td>VCCS</td>
<td>Replace Academic and Administration Building, Eastern Shore</td>
<td>$1,800,000</td>
</tr>
<tr>
<td>VCCS</td>
<td>Renovate Engineering and Industrial Technology Building, Danville</td>
<td>$1,000,000</td>
</tr>
<tr>
<td>VCCS</td>
<td>Construct Student Service and Learning Resources Center, Christina Campus, SVCC</td>
<td>$1,350,000</td>
</tr>
<tr>
<td>VCCS</td>
<td>Renovate Bird Hall and Renovate/Expand Nichols Center, Chester Campus, JTCC</td>
<td>$2,800,000</td>
</tr>
<tr>
<td>VIMS</td>
<td>Construct Facilities Management Building</td>
<td>$386,000</td>
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<tr>
<td><strong>Total</strong></td>
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<td><strong>$17,332,000</strong></td>
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### Higher Education Capital Supplants

<table>
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<tr>
<th>Inst Code</th>
<th>Project Title</th>
<th>FY2016</th>
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<tbody>
<tr>
<td>VT</td>
<td>Renovate or Renew Academic Buildings</td>
<td>$24,959,494</td>
</tr>
<tr>
<td>LU</td>
<td>Additional Biomass Boiler</td>
<td>$5,449,095</td>
</tr>
<tr>
<td>JMU</td>
<td>Acquire East Campus Chiller Plant</td>
<td>$2,800,000</td>
</tr>
<tr>
<td>JMU</td>
<td>Renovate/Addition Madison Hall</td>
<td>$15,741,438</td>
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<tr>
<td>RU</td>
<td>Renovate Whitt Hall</td>
<td>$7,397,093</td>
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<tr>
<td>VT-ext</td>
<td>Improve Kentland Facilities, Phase I</td>
<td>$7,936,259</td>
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<tr>
<td>VCU</td>
<td>Renovate Raleigh Building</td>
<td>$7,010,583</td>
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<tr>
<td>VCU</td>
<td>Renovate Sanger Hall, Phase II</td>
<td>$17,214,620</td>
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<tr>
<td>VCCS</td>
<td>Renovate Engineering and Industrial Technology Building, Danville</td>
<td>$6,221,993</td>
</tr>
<tr>
<td><strong>Total</strong></td>
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<td><strong>$94,730,575</strong></td>
</tr>
</tbody>
</table>
State Council of Higher Education for Virginia
Agenda Item

Item: Resources and Planning #D6 – Preview of 2017 General Assembly Session

Date of Meeting: January 10, 2017

Presenter: Beverly Covington, Senior Associate for Academic and Legislative Affairs
beverlycovington@schev.edu

Most Recent Review/Action:
☑ No previous Council review/action
☐ Previous review/action
  Date:
  Action:

Background Information/Summary of Major Elements:

Ms. Covington will provide an overview of legislative issues affecting higher education in the 2017 General Assembly. The legislature will begin its short session on January 11, 2017. Important session dates are as follows:

- **January 11:** General Assembly convenes; committee assignments announced
- **January 13:** Budget amendment deadline
- **February 5:** Money Committees report out respective versions of the budget
- **February 7:** Crossover Day (the date on which each house may only consider legislation and amendments introduced in the other house, except the Budget bill and revenue bills)
- **February 9:** Budgets reported out of respective houses; budget conferees appointed
- **February 27:** Adjournment

During session, SCHEV will be monitoring the actions of the House Appropriations Committee and the Senate Finance Committee with respect to Governor McAuliffe’s introduced budget. Staff also will track legislation pending in the House Education Committee and the Senate Education and Health Committee.

Materials Provided: A handout of pre-filed legislation will be provided at the meeting.

Financial Impact: N/A

Timetable for Further Review/Action: N/A

Resolution: N/A
State Council of Higher Education for Virginia
Agenda Item

Item: Resources and Planning #D7 – Discussion of Updates to The Virginia Plan for Higher Education

Date of Meeting: January 10, 2017

Presenters: Peter Blake, Director (peterblake@schev.edu)
Dan Hix, Fiscal Policy Director (danhix@schev.edu)
Alan Edwards, Policy Studies Director (alanedwards@schev.edu)

Most Recent Review/Action:
☐ No previous Council review/action
☒ Previous review/action
  Date: December 1, 2016
  Action: Council discussed activities for the three assigned initiatives

Background Information/Summary of Major Elements: At its September 2015 meeting Council approved six priority initiatives in support of goals of The Virginia Plan. Staff will provide information and receive feedback from the Resource and Planning Committee on items 2, 3 and 6:

2. **Seek legislative changes that support stable and sustainable public funding for higher education, such as a constitutional amendment, a dedicated funding source, and a revenue stabilization fund.**

- The Council approved budget recommendations for the 2016 and 2017 General Assembly sessions that focus on goals of access, success, improvement, and economic prosperity.
- The recent revenue shortfall calls attention once again to the variations in public funding and should renew efforts to seek more stable outcomes.

3. **Review and recommend potential initiatives for further restructuring and shared services that enhance institutional and administrative flexibility and improve quality and efficiency.**

- The Governor and General Assembly established the Fund for Excellence and Innovation within SCHEV. SCHEV made initial allocations to institutions for
affordable pathways programs, which improve educational outcomes at a lower cost to students.

- SCHEV established a workgroup to identify other cost efficiency and shared service opportunities. Among its proposals is to host a summit on promising practices and to pursue specific partnerships between selected institutions.
- Council members also have expressed an interest in identifying the next generation of “restructuring.”

6. Promote economic development for the Commonwealth by implementing a long-term plan that supports recruitment and retention of research faculty, provides matches to federal and private research grants and enhances commercialization of higher education research.

- The Council recommended a funding model for faculty recruitment and retention. The Governor and the 2016 General Assembly approved a new program that adopted key elements of the Council’s recommendation. Part of the new program assigns responsibility to SCHEV to establish guidelines for the awarding of grants from the program.
- Council Chair Gil Minor recently established an ad hoc research committee to meet the requirements of the new program.
- Council will continue to seek strategies to promote economic development through research.

**Materials Provided:** None

**Financial Impact:** N/A

**Timetable for Further Review/Action:** Staff and committee members will continue to work together to monitor progress on these initiatives.

**Resolution:** N/A